# Image Denoising via Nonlocally Sparse Coding and Tensor Decomposition

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## ABSTRACT

The nonlocally sparse coding and collaborative filtering techniques have been proved very effective in image denoising, which yielded state-of-the-art performance at this time. In this paper, the two approaches are adaptively embedded into a Bayesian framework to perform denoising based on split Bregman iteration. In the proposed framework, a noise-free structure part of the latent image and a refined observation with less noise than the original observation are mixed as constraints to finely remove noise iteration by iteration. To reconstruct the structure part, we utilize the sparse coding method based on the proposed nonlocally orthogonal matching pursuit algorithm (NLOMP), which can improve the robustness and accuracy of sparse coding in present of noise. To get the refined observation, the collaborative filtering method are used based on Tucker tensor decomposition, which can takes full advantage of the multilinear data analysis. Experiments illustrate that the proposed denoising algorithm achieves highly competitive performance to the leading algorithms such as BM3D and NCSR.

## **Categories and Subject Descriptors**

I.5.4 [Pattern Recognition]: Applications—Computer vision, signal processing

### **General Terms**

Algorithms

### Keywords

collaborative filtering, sparse coding, tensor decomposition, Bregman iteration

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#### 1. INTRODUCTION

Cleaning of noise from images is a classical and long studied problem in image processing. For an observed image  $y \in \Re^{\sqrt{N} \times \sqrt{N}}$ , the problem of image denoising can be generally formulated by

$$y = x + n \tag{1}$$

where  $x \in \Re^{\sqrt{N} \times \sqrt{N}}$  is the latent image and  $n \in \Re^{\sqrt{N} \times \sqrt{N}}$  is the additive Gaussian white noise. There are several image denoising techniques that have been developed in the past few decades, such as partial differential equations [1], spatially varying convolution [2], kernel regression [3], nonlocal techniques [4], transform-based techniques [5], and techniques based on sparse coding [6].

Among the above techniques, nonlocal techniques [4] which assume that there exists repeating structures in a given image have received increasingly more attention in recent years. One of reasons for this population is the nonlocal assumption greatly extends the ability of other methods. For example, nonlocal ROF model was developed in [7] to extend the traditionary ROF model based on PEDs [1]. By combining the nonlocal and transform-domain approaches, Dabove et al. proposed a collaborative filtering algorithm named BM3D to perform denosing. The BM3D algorithm is well known due to its outstanding performance and can be considered to be the state of the art at this time. More recently, in [9], Rajwade et al. used the same framework to perform densoing but replaced the fixed transform bases (whether Haar/DCT/Biorthogonal wavelet) in BM3D by the spatially adaptive bases constructed by Tucker tensor decomposition. Inspired by the nonlocal and sparse coding approaches, Mairal et al. proposed a denoising algorithm based on the nonlocal sparse model (NLSM). More recently, in [11], Dong et al. introduced the concept of sparse coding noise and proposed a nonlocally centralized sparse representation (NCSR) to suppress the sparse coding noise for image denoisng. Both NLSM and NCSR have yielded state-of-theart performance on par with the BM3D algorithm.

In this paper, we unify the nonlocal, transform-domain and sparse coding approaches to perform denoising based on split Bregman iteration [12]. In the proposed denoising framework, we first reconstruct the noise-free structure part

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 $x_S$  of the latent image by the nonlocally sparse coding method. Due to the potential instability of sparse decompositions in present of noise, we propose a nonlocal orthogonal matching pursuit algorithm (NLOMP) to reduce the coding error. Next, the collaborative filtering based on Tucker tensor decomposition is used to get a refined observation  $x_T$  with less noise than the original observation. Finally, the two parts  $x_S$  and  $x_T$  are mixed as constraints to finely remove noise iteration by iteration

This paper is organized as follows. Section 2 describes the proposed denoising algorithm in detail. Experimental results are given in Section 3 to verify our algorithm, and show the performance as compared with other algorithms. Finally, we conclude the algorithm in Section 4.

#### METHODS 2.

#### **Nonlocal Orthogonal Matching Pursuit** 2.1

The denoising technique based on sparse coding is to denoised image by solving the following minimization [6]

$$\min_{x,\alpha,D} \frac{1}{2} ||y-x||_2^2 + \sum_i \mu_i ||\alpha_i||_0 + \lambda \sum_i ||D\alpha_i - R_i x||_2^2$$
(2)

where D is the overcomplete dictionary learned from the noisy image,  $\mu_i$  and  $\lambda$  are regularization parameters, and  $R_i$  is an  $n \times N$  matrix that extracts the *i*-th patch from the image.  $\alpha_i$  is the sparse coefficients corresponding to the *i*-th patch. As the  $\ell_0$  problem is complicated in general, approximation methods are often employed. One such approximation technique is the orthogonal matching pursuit (OMP)[13], which is a greedy algorithm and can guarantee near-optimal results in some cases.

However, it has been shown that sparse coding with an overcomplete dictionary is unstable [14], which can result in noticeable reconstruction artifacts in the denoised image. Fortunately, multiple observations of a sparse signal can improve the ability to identify the underlying sparse representation [15]. To reduce the coding error in present of noise, we proposed a nonlocal orthogonal matching pursuit (NLOMP) algorithm based on the fact that natural images often contain repetitive structures, i.e., the rich amount of nonlocal redundancies [4].

Given the dictionary D, the objective function of our nonlocal sparse model is

$$\min_{\alpha_i} ||\alpha_i||_0, \quad s.t. \quad \sum_{q \in \Omega_i} ||D\alpha_i - y_q||_2^2 w_q \le \varepsilon$$
(3)

where  $\Omega_i$  denotes a set of patches similar as the given patch  $y_i$  (including  $y_i$ ),  $\varepsilon$  is a small constant controlling the approximation error, and  $w_q$  is the weight. We set the weights to be inversely proportional to the photometric distance between patches  $y_i$  and  $y_q$ 

$$w_q = \frac{1}{W} exp(-||y_i - y_q||_2^2/h)$$
(4)

where h is the smooth parameter and W is the normalization constant. Then the algorithm of NLOMP is summarized in Alg. 1.

To verify the robustness and accuracy of NLOMP for sparse coding, we compare the proposed NLOMP algorithm with the traditional OMP algorithm. Fig. 1 (a) is the example image *House* with the noise level  $\sigma = 20$ , and Fig.

#### Algorithm 1: Nonlocal Orthogonal Matching Pursuit

The dictionary D, the similar signals  $\{y_q\}$ , Input: the weights  $\{w_q\}$ , and the threshold  $\varepsilon$ . **Output**: The sparse coefficient  $\alpha$ . **1 Initialization:** Initialize k = 0, and set The initial solution  $\alpha^0 = 0$ .  $\mathbf{2}$ The initial residuals  $\{r_q^0 = y_q - D\alpha^0 = y_q\}$ . The initial support  $S^0 = Sup\{\alpha^0\} = \emptyset$ . 3 while  $\sum_{q \in \Omega} ||r_q^k||_2^2 w_q > \varepsilon$  do  $\mathbf{5}$ 6 k = k+1.

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7 Sweep: Compute the optimal  $z_j$  of  $\epsilon(j)$  for every  $d_j$  using 8  $z_j^* = d_j^T r_w^{k-1} / ||d_j||_2^2$ , where  $r_w = \sum_{q \in \Omega} w_q r_q$ and  $\epsilon(j) = \min \sum_{q \in \Omega} ||d_j z_j - r_q^{k-1}||_2^2 w_q$ . Update Support: 9 10  $\mathbf{11}$ Find  $j_0: \forall j \notin S^{k-1}, \epsilon(j_0) < \epsilon(j),$ 12 and update  $S^k = S^{k-1} \cup \{j_0\}$ . 13 Update Solution: 14 15Compute the optimal  $\alpha^k$  by  $\mathbf{16}$  $\label{eq:alpha} \min_{\alpha} \ \sum_{q\in\Omega} \ ||D\alpha-y_q||_2^2 w_q, \ s.t. \ Sup\{\alpha\}=S^k.$ Update Residuals:  $\mathbf{17}$ Compute  $\{r_q^k = y_q - D\alpha^k\}.$  $\mathbf{18}$ 19 end **20 Return**  $\alpha$ ;

1 (b) shows the learned dictionary using the K-SVD algorithm [16]. Fig. 2 illustrates the coding results for different patches in Fig. 1 (a). The patch size is  $8 \times 8$ . The red stems correspond the sparse coding coefficients for the latent patches by OMP, and we take them as the latent sparse coefficients. The blue stems correspond the coefficients for the noisy patches by OMP while the green stems correspond the coefficients by our NLOMP. Obviously, the coding results of our NLOMP are more consistent with the latent coefficients than the ones of OMP.

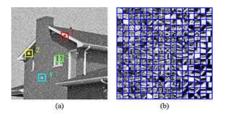


Figure 1: Example image and the dictionary. (a) the noisy image with variance  $\sigma = 20$ , (b) the corresponding adaptive dictionary.

It is worth noting that there exists a few small coefficients in the latent coding results which correspond to the degraded or lost texture of the image due to noise. In general, it is a big challenge to accurately restore these small coefficients in present of noise. Getting accurate restoration of big coefficients, however, is much easier when the structure part exists.

#### 2.2 Denoising via Tensor Decomposition

Given a tensor  $T \in \Re^{\sqrt{n} \times \sqrt{n} \times K}$ , the Tucker tensor de-

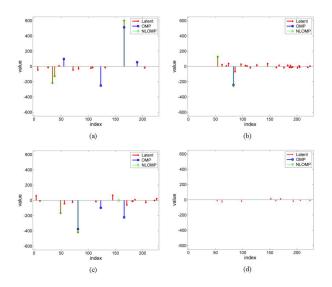


Figure 2: Sparse coding for different patches. (a) patch 1, (b) patch 2, (c) patch 3, (d) patch 4. The x axis denotes the index of coefficients and the y axis denotes the value of coefficients. (Color version shows clearly.)

composition of T is [18]

$$T = S \times_1 U^{(1)} \times_2 U^{(2)} \times_3 U^{(3)}$$
(5)

where  $U^{(1)} \in \Re^{\sqrt{n} \times \sqrt{n}}, U^{(2)} \in \Re^{\sqrt{n} \times \sqrt{n}}$ , and  $U^{(3)} \in \Re^{\sqrt{n} \times \sqrt{n}}$ are ortho-normal matrices, which can be considered as 3D transform basis pairs, and S is a 3D coefficient array (core tensor) of size  $\sqrt{n} \times \sqrt{n} \times K$ . Here, the symbol  $\times_n$  stands for the n-th mode tensor product.

In this work, followed [9], we use the tensor decomposition to finely remove noise while preserve texture at the same time. There are two advantages: firstly, due to the massive variety of the geometrical structure in the nature images, the data adaptive transform basis learned from the given noisy image [9, 17] is more suitable than the fixed basis in BM3D; secondly, tensor-based multilinear data analysis is capable of taking full advantage of the multilinear structures to provide better understanding and more precision [18].

Algorithm 2: Denoising via Tensor Decomposition							
-	The noisy image $y$ . The noise variance $\sigma$ . The denoised image $x$ .						

- 1 Initialization: x = y
- 2 Patch clustering: find the KNN for each exemplar patch and create tensor  $T_i$  for each cluster;
- 3 Tensor decomposition:  $(S_i, U_i^{(1)}, U_i^{(2)}, U_i^{(3)},) = \text{TD}(T_i);$ 4 Thresholding:  $\tau = \eta \sigma \sqrt{2 \log(nK)}, \quad \hat{S}_i = \text{Thresh}(S_i, \tau);$
- 5 Tensor reconstruction:  $\hat{T}_i = \hat{S}_i \times_1 U_i^{(1)} \times_2 U_i^{(2)} \times_3 U_i^{(3)};$
- **Image update:** obtain the denoised image x by weighted 6 averaging all denoised patches;
- 7 Return x.

Alg. 2 summarizes the image denoising algorithm using tensor decomposition. In the step of thresholding,  $\tau$  is the threshold and  $\eta$  is a control parameter. The thresholding is a hard shrinkage operator as follow

$$\text{Thresh}(z,\tau) = \begin{cases} z & |z| > \tau \\ 0 & |z| \le \tau \end{cases}$$
(6)

The choice of  $\tau$  is of importance for the denoising algorithms which perform denoising by filtering the transform coefficients. If it is set too big, the texture will lost in the denoised image. If it is set too small, the noise will not be removed enough. In this work, we empirically set it less than 0.5 to remove a certain amount of the noise at each iteration.

#### 2.3 Denoising Based on Iteration

In this section, we unify nonlocal orthogonal matching pursuit (NLOMP) and tensor decomposition (TD) to perform denoising based on split Bregman iteration.

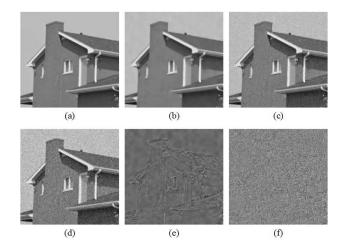


Figure 3: Image denoising using separately NLOMP and TD. (a) latent image x, (b) the denoised image  $x_S$ using NLOMP, (c)the denoised image  $x_T$  using TD, (d) the noisy image with  $\sigma = 20$ , (e) the difference  $r_1$  between (a) and (b), (f) the difference  $r_2$  between (a) and (c).

Fig. 3 shows the denoised results using separately NLOMP and TD. We can see that both noise and texture have been removed from the denoised image  $x_S$  using NLOMP (Fig. 3) (b)) and the difference  $r_1$  (Fig. 3(e)) with the latent image well represents the texture. Fig. 3 (c) is the denoised result  $x_T$  by Alg. 2 using TD where we set the control parameter  $\eta = 0.4$ , and Fig. 3(d)) is the difference  $r_2$  with the latent image. It is obvious that there remains a lot of noise in  $x_T$ . However, more textures are preserved at the same time.

The empirical distributions of the difference  $r_1$  (black one) and  $r_2$  (blue one) are plotted in Fig. 4. We can see that the distribution of  $r_1$  can be well characterized by Laplacian distribution, while Gaussian distribution can well fit the distribution of  $r_2$ . This observation motivates us to model  $r_1$  and  $r_{\rm 2}$  with a Laplacian prior and a Gaussian prior respectively. Specifically,  $P(x|x_S) \propto e^{-|x-x_S|}$  and  $P(x|x_T) \propto e^{-||x-x_T||_2^2}$ . Assumed  $x_S$  and  $x_D$  are independent, maximizing the posterior probability  $P(x|x_T, x_S) \propto P(x|x_S)P(x|x_T)$  is equivalent to minimizing the following objective function

$$E(x) = \min_{x} |x - x_{S}| + \frac{\lambda}{2} ||x - x_{T}||_{2}^{2}$$
(7)

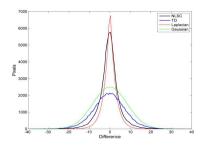


Figure 4: The empirical distributions of the difference. The x axis denotes the value of difference, and the y axis denotes the number of pixels. (Color version shows clearly.)

where  $\lambda$  is the regularization parameter. Eq. (7) is not patch-based but global denoising procedure mixing the constraints of  $x_S$  and  $x_T$ . We can investigate Eq. (7) in view of regularization other than Beyesian perspective, namely,  $x_S$ is the structure part and the  $\ell_1$ -norm constraint forces the estimated image x enhanced just at textures, while  $x_T$  can be considered as a refined observation with less noise than the original noisy observation and the  $\ell_2$ -norm constraint guarantees x consistent with the latent image.

We resort to the split Bregman method [12] to solve Eq. (7) duo to the rapid convergence in dealing with the  $\ell_1$ -based optimization problem. The key idea of the split Bregman is that it "de-couple" the  $\ell_1$  and  $\ell_2$  portions of the energy in Eq. (7). By introducing a new variable, we can get the following problem equivalent to Eq. (7)

$$\min_{x,d} |d| + \frac{\lambda}{2} ||x - x_T||_2^2, \quad s.t. \quad d = \Phi(x) = x - x_S \tag{8}$$

As shown in [12], the above iteration is equivalent to the simple version of the split Bregman iteration

$$(x^{k+1}, d^{k+1}) = \min_{x, d} |d| + \frac{\lambda}{2} ||x - x_T||_2^2 + \frac{\mu}{2} ||d - \Phi(x) - b^k||_2^2$$
(9)

$$b^{k+1} = b^k + \Phi(x^{k+1}) - d^{k+1} \tag{10}$$

where  $\mu$  is the free parameter. We can perform the minimization problem Eq. (9) efficiently by iterative minimizing with respect to x and d separately. The two steps we must perform are

Step1: 
$$x^{k+1} = \min_{x} \frac{\lambda}{2} ||x - x_{T}||_{2}^{2} + \frac{\mu}{2} ||d^{k} - \Phi(x) - b^{k}||_{2}^{2}$$
(11)

Step2: 
$$d^{k+1} = \min_{d} |d| + \frac{\mu}{2} ||d - \Phi(x^{k+1}) - b^{k}||_{2}^{2}$$
 (12)

To solve Step 1, note that because we have "de-couple" x from the  $\ell_1$  portion of the problem, the optimization problem that we must solve for  $x^{k+1}$  is now differentiable, and the analysis solution is

$$x^{k+1} = \frac{\lambda}{\lambda+\mu}x_T + \frac{\mu}{\lambda+\mu}x_S + \frac{\mu}{\lambda+\mu}(d^k - b^k) \qquad (13)$$

In Step 2, there is no coupling between elements of d. The optimal value of d can be explicit computed by soft shrinkage operators

$$d^{k+1} = shrink(\Phi(x^{k+1}) + b^k, 1/\mu)$$
(14)

and the shrink operator is

$$shrink(z,\gamma) = \begin{cases} z - \gamma & z > \gamma \\ 0 & -\gamma \le z \le \gamma \\ z + \gamma & z < -\gamma \end{cases}$$
(15)

The final image denoising algorithm unifying NLOMP and TD based on split Bregman iteration is summarized in Alg. 3, where "Med" in re-estimating the noise variance stands for median operator. Note that we update the  $x_T$  with  $x^k$  and  $\hat{\sigma}^k$  as inputs of Alg. 2 at each iteration.

	Algorithm 3: Iterative Image Denoising						
	<b>Input</b> : The noisy image $y$ . The noise variance $\sigma$ . <b>Output</b> : The denoised image $x$ .						
1	<b>Initialization:</b> $x = y, d = 0, b = 0$						
<b>2</b>	<b>2 Stage 1:</b> Get $x_S$ using NLOMP and $x_T$ using TD						
3	<b>3 Stage 2:</b> Denoising based on split Bregman iteration						
<b>4</b>	4 while $  \hat{\sigma}^k - \hat{\sigma}^{k-1}  _2^2 > tol and k < Miter do$						
<b>5</b>	Solve $x^k$ using Eq. (13);						
6	Re-estimate noise variance:						
7	$\hat{\sigma}^k = \sqrt{\sigma^2 - \operatorname{Med}(  y - x^k  _2^2)};$						
8	Update $x_T$ with $\hat{x}^k$ and $\hat{\sigma}^k$ as inputs of Alg.2;						
9	Solve $d^{k+1}$ using Eq. (14);						
0	Solve $b^{k+1}$ using Eq. (10);						
1	k = k + 1;						
2	2 end						
3	Return $x^k$ .						

#### **3. EXPERIMENTS**

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In this section we will illustrate the performance of the proposed approach. Several algorithms, such as BM3D [8], NCSR [11], and KSVD [6] were used for comparisons. Both peak signal to noise ratio (PSNR) and structural similarity (SSIM) indices are adopted to evaluate the objective quality of the denoised results.

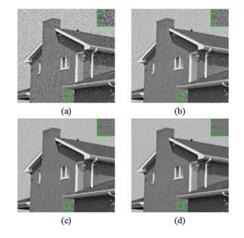


Figure 5: The evolution of the denoised result using the Alg. 3. (a) the noisy image with variance  $\sigma = 20$  and PSNR 22.08 dB, (b) the denoised result after iterating once with PSNR 29.58 dB, (c) the denoised result after iterating twice with PSNR 34.47 dB, (d) the denoised result after iterating thrice with PSNR 34.90 dB.

The basic parameter setting of the proposed method is as follow: the patch size is  $8 \times 8$ ; for Alg. 2, the number of similar patches is 30 in the step of patch clustering and the control parameter  $\eta$  is 0.4 in the step of thresholding; for Alg. 3, the regularization parameter  $\lambda$  of the objective function in Eq. (7) is  $20\sigma$ , the auxiliary parameter  $\mu$  for the Bregman iteration in Eq. (9) is 50, the stopping criterion "tol" is  $0.1\sigma$  and the maximum number of iterations is 4. Note that the parameter settings mentioned above are fixed in all tests.

Fig. 5 illustrates the evolution of the denoised result using Alg. 3. The noise variance  $\sigma$  is 20. The image content inside the small green rectangle is zoomed in the upright corner. Compared with the denoised results in Fig. 3 (b) and Fig. 3 (c) which separately use NLOMP and TD, we can see that the noise is finely removed step by step and the degraded texture is preserved at the same time (see 5 (d)).

A set of 7 natural images commonly used in the literature of image denoising are used for comparison. The denoised results using different methods with difference noise variance are reported in Table 1. The highest PSNR and SSIM values are highlighted in each cell to facilitate the comparison.We can see that the proposed method achieves at least comparable denoising performance to the state-of-the-art algorithms BM3D and NCSR.

### 4. CONCLUSIONS

In this paper, we use the sparse coding based on the proposed NLOMP and the collaborative filtering based on tensor decomposition to perform denosing. The denoising framework based on split Bregman iteration has the Bayesian explanation, and at each iteration, the noise is finely removed from the current denoised image. Experimental results demonstrated that the proposed denoising algorithm can achieve the competitive performance to the state-of-theart denoising algorithms.

### 5. ACKNOWLEDGMENTS

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Imagoo	Methods	$\sigma = 10$		$\sigma = 20$		$\sigma = 30$		$\sigma = 40$	
Images		PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM
	Proposed	38.03	0.947	34.89	0.912	32.96	0.891	31.41	0.873
House	BM3D	38.05	0.950	34.63	0.908	32.72	0.885	31.16	0.863
House	NCSR	38.18	0.951	34.79	0.910	32.72	0.888	31.31	0.873
	KSVD	36.97	0.931	33.83	0.896	31.75	0.867	29.91	0.834
-	Proposed	36.18	0.968	32.54	0.935	30.19	0.896	28.44	0.853
Barbara	BM3D	35.81	0.967	32.28	0.933	30.16	0.896	28.26	0.851
Darbara	NCSR	35.87	0.967	32.30	0.935	29.98	0.897	28.48	0.858
	KSVD	35.10	0.959	31.23	0.908	28.83	0.849	27.07	0.795
	Proposed	35.22	0.934	31.60	0.875	29.54	0.828	28.09	0.788
Boat	BM3D	35.16	0.934	31.63	0.878	29.66	0.832	28.16	0.791
Doat	NCSR	35.13	0.933	31.54	0.875	29.47	0.828	28.06	0.787
	KSVD	34.71	0.926	31.02	0.855	28.90	0.798	27.43	0.752
	Proposed	38.57	0.973	34.79	0.944	32.43	0.915	30.82	0.889
Lena	BM3D	38.64	0.974	34.79	0.943	32.50	0.914	30.64	0.884
Lena	NCSR	38.21	0.972	34.47	0.944	32.09	0.916	30.76	0.893
	KSVD	37.84	0.968	34.02	0.934	31.73	0.902	30.08	0.871
	Proposed	34.76	0.918	31.39	0.841	29.56	0.785	28.32	0.742
Hill	BM3D	34.72	0.917	31.47	0.846	29.75	0.795	28.48	0.751
11111	NCSR	34.77	0.918	31.39	0.844	29.53	0.789	28.30	0.743
	KSVD	34.29	0.907	30.83	0.817	28.93	0.753	27.61	0.704
	Proposed	34.98	0.971	30.94	0.937	28.71	0.902	27.18	0.869
Monarch	BM3D	34.56	0.969	30.63	0.932	28.59	0.897	26.88	0.859
wonarch	NCSR	35.01	0.971	30.93	0.936	28.69	0.902	27.03	0.870
	KSVD	34.02	0.961	30.12	0.920	28.03	0.881	26.61	0.846

Table 1: Summary of PSNR and SSIM