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## Nanoparticle-mediated radiopharmaceutical-excited fluorescence molecular imaging allows precise image-guided tumor-removal surgery

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#### 10 Abstract

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Fluorescent molecular imaging technique has been actively explored for optical image-guided cancer surgery in pre-clinical and clinical research and 11 has attracted many attentions. However, the efficacy of the fluorescent image-guided cancer surgery can be compromised by the low signal-to-noise ratio 1213caused by the external light excitation. This study presents a novel nanoparticle-mediated radiopharmaceutical-excited fluorescent (REF) image-guided cancer surgery strategy, which employs the internal dual-excitation of europium oxide nanoparticles through both gamma rays and Cerenkov 14 luminescence emitted from radiopharmaceuticals. The performance of the novel image-guided surgery technique was systematically evaluated using 15 subcutaneous breast cancer 4 T1 tumor models, orthotropic and orthotropic-ectopic hepatocellular carcinoma tumor-bearing mice. The results reveal that 16 17the novel REF image-guided cancer surgery technique exhibits high performance of detecting invisible ultra-small size tumor (even less than 1 mm) and 18 residual tumor tissue. Our study demonstrates the high potential of the novel image-guided cancer surgery for precise tumor resection. 19 © 2017 Elsevier Inc. All rights reserved.

*Key words:* Radiopharmaceutical-excited fluorescence imaging (REFI); Cerenkov luminescence imaging (CLI); Radionuclides; Hepatocellular carcinoma
 (HCC); Positron emitting tomography (PET)

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Radical resection of cancer is the most effective method to 23improve the survival rate of cancer patients.<sup>1</sup> Precise removal of 24 cancerous tissue avoids adverse events and systemic toxicity, 25prevents damage of healthy tissues, and decreases the risk of 26postoperative complications.<sup>2</sup> Presently, optical image-guided 27cancer surgery, especially fluorescence molecular imaging (FMI) 28guided surgery, has attracted intensive attentions and has been 29actively explored in pre-clinical<sup>3-5</sup> and clinical research.<sup>6-10</sup> 30 FMI has many advantages, such as no radiation, high sensitivity, 31

high resolution, high specificity and real-time monitoring 32 capability.<sup>11</sup> Numerous researches have shown that FMI can 33 help resolve mouse lymphatic vasculature and sentinel lymph 34 nodes near tumors,<sup>3</sup> delineate tumor margins,<sup>4</sup> improve 35 intra-operative staging,<sup>5</sup> enable more radical cytoreduction,<sup>6,7</sup> 36 detect ignored tumor lesions in some special organs,<sup>8</sup> map lymph 37 node metastases better,<sup>9,10</sup> and so on. However, FMI could 38 suffer from high background and thus low signal noise ratio 39 (SNR) because of the use of external laser excitation.<sup>12,13</sup> 40

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Moreover, very few fluorescent probes have been approved by 41 the Food and Drug Administration (FDA) for clinical use.<sup>11,14</sup> 42 All these problems could limit its wide clinical applications. 43 In recent years, Cerenkov luminescence imaging (CLI), which 44 employs the Cerenkov radiation emitted from radiopharmaceuti-45 cals for optical imaging, arose as a new imaging modality and has 46 found broad applications.<sup>15–17</sup> It can be used in development and 47evaluation of radiopharmaceuticals,15-20 monitoring cancer drug 48 therapy,<sup>21,22</sup> detecting the expression of tumor markers,<sup>23–25</sup> and 49 so on. A wide variety of radionuclides and radiopharmaceuticals 50could serve as probes for CLI, besides using for positron emission 51 tomography (PET) and single photon emission computed 52tomography (SPECT).<sup>15,17</sup> Importantly, CLI has been evaluated 53 in clinical studies, including the imaging of human thyroid gland 54using  ${}^{131}I^{26}$  and the patients' lymph nodes in the axillary region by 55injection of <sup>18</sup>F-fluorodeoxyglucose (<sup>18</sup>F-FDG).<sup>27</sup> Compared to 56FMI, CLI does not require the external light illumination, has lower 57auto-fluorescence and can take advantages of numerous FDA 58 approved radiopharmaceuticals for clinical imaging. CLI has also 59 been applied for the optical image-guided cancer surgery.<sup>28–31</sup> Liu 60 et al have developed a Cerenkov luminescence endoscopy system 61 62 and the in vivo tumor imaging study demonstrated the ability of CLI to guide the resection of tumor tissues. However, on the other 63 64 hand, because the Cerenkov luminescence signal is very weak, it usually takes long time for optical imaging system to acquire the 65 weak Cerenkov luminescence. Therefore it is hard to obtain good 66 imaging contrast in living subjects and achieve real time 67 之前的研究成果 image-guided surgery capability using CLI. 68

In this study, a novel radiopharmaceutical-excited fluorescence 69 (REF) image-guided surgery strategy has been explored based on 70 our previous work on the novel radiopharmaceuticals excited 71 fluorescence imaging (REFI) technique. REFI involves the use of 72 nanoparticles such as europium oxide (EO) nanoparticles that can 73be excited by photons and radiopharmaceuticals such as <sup>18</sup>F-FDG 74 to generate optical signal without the use of external light source. It 75has demonstrated enhanced optical signal intensity, high SNR. 76 deep penetration depth, and high sensitivity using the internal dual 77 excitation of nanoparticles by both gamma rays and Cerenkov 78 photons.<sup>32,33</sup> To compare with the existing optical image guided 79surgery, the REF image guided surgery strategy was firstly 80 81 compared with FMI using a targeted fluorescent probe. Considering indocyanine green (ICG) is the FDA-approved fluorescent 82 83 probe and has been widely used in clinic in FMI guided surgery, therefore REF image guided surgery was conducted and compared 84 with ICG image guided surgery. Our current study systematically 85 evaluates the performance of REFI for surgical navigation using 86 subcutaneous breast cancer 4 T1 tumor models, orthotopic 87 hepatocellular carcinoma (HCC) tumor-bearing mice, and 88 orthotopic-ectopic HCC tumor-bearing mice, and its performance 89 has been compared to that of FMI. 90

#### 91 Methods

### 92 Preparation of radiopharmaceuticals and EO nanoparticles

All the radiopharmaceuticals available in clinic including
 <sup>18</sup>F–FDG and <sup>11</sup>C–Choline (<sup>11</sup>C–CHO) were obtained from the
 Department of Nuclear Medicine, Chinese PLA General

Hospital (Beijing, China). EO nanoparticle (Eu<sub>2</sub>O<sub>3</sub>, 99.9% 96 metal basis, molecular weight = 351.91) was purchased from 97 Aladdin (Shanghai, China) and used as previously described.<sup>32</sup> 98

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#### Cell culture

Luciferase labeled human well-differentiated HCC cells 100 HepG2-Red-FLuc, luciferase labeled mouse mammary gland 101 adenocarcinoma cells 4 T1-Red-FLuc, and human breast cancer 102 Bcap-37 cells were used for the following experiments. Detailed 103 information can be found in supplemental information. 104

## In vitro imaging

All optical images were acquired with IVIS Kinetic imaging 106 system (PerkinElmer, Waltham, MA) with a  $4 \times 4$  binning, 107 aperture  $f_{num}$  of 1. Regions of interest (ROIs) of the 108 corresponding areas were drawn over the optical images, and 109 the average radiances were calculated by the Living Image 3.2 110 software (PerkinElmer, Waltham, MA, USA), which provides 111 the quantification information, such as the intensities of the 112 optical signals. 113

Fluorescence of EO nanoparticles (0.107 g) was acquired 114 with 1 s exposure time (w/o excitation: 430 nm, emission: 620 115 nm). The excitation and emission spectrum of EO nanoparticles 116 were measured using a fluorescence spectrophotometer (Hitachi, 117 Tokyo, Japan). Cerenkov luminescence (CL) of <sup>18</sup>F–FDG (277 118  $\mu$ Ci, 0.1 mL) was acquired with 5 minutes exposure time. 119 Microcentrifuge tubes containing previous two samples were 120 placed abreast (approximately 10 mm apart) and REF image was 121 acquired with 5 minutes exposure time. Emission spectrum of 122 EO nanoparticles was measured by IVIS system using filters 123 from 500 nm to 800 nm. After that, the two samples of <sup>18</sup>F–FDG 124 and EO nanoparticles were mixed together. REF image of the 125 mixture was acquired with 20 s exposure time. The spectrum of 126 the mixture was measured by IVIS system using filters from 500 127 nm to 800 nm.

Different amounts of EO nanoparticles  $(10^{-3} \text{ g}, 10^{-5} \text{ g}, 10^{-7} \text{ g}, 129)$  $10^{-9} \text{ g}, 10^{-12} \text{ g})$  and <sup>18</sup>F–FDG with the activity of 1  $\mu$ Ci or 0.1  $\mu$ Ci 130 were separately added into the wells of a black 96-well plate. <sup>18</sup>F– 131 FDG with the activity of 1  $\mu$ Ci or 0.1  $\mu$ Ci was used as control. CL of 132 <sup>18</sup>F–FDG and REF of the mixtures were acquired with 5 minutes 133 exposure time. 134

### Apoptosis analysis using flow cytometry

Apoptosis staining was conducted using the Dead Cell 136 Apoptosis Kit with Annexin V Alexa Fluor<sup>®</sup> 488 & Propidium 137 Iodide (PI) - for flow cytometry (Life Technologies, Carlsbad, 138 CA). Detailed information can be found in supplemental 139 information. 140

#### Hematoxylin and eosin staining 141

To evaluate the tissue toxicity of EO nanoparticles, the 142 Bcap-37 xenograft mice (n = 4) were sacrificed immediately 143 after *in vivo* imaging for histological examination. Organs were 144 fixed in 4% formalin, and paraffin-embedded sections (4 mm 145 thickness) were prepared for hematoxylin and eosin (H&E) 146 staining. The slices were examined using an inverted microscope 147

148 (Leica, Buffalo Grove, IL). The control group (n = 4) was the 149 mice injected with PBS.

#### 150 Biodistribution and pharmacokinetic study

All animal studies were conducted in compliance with the Fourth Military Medical University Animal Studies Committee (Protocol 20,090,260). BALB/c nude mice and Wistar rats (Vital River, Beijing, China) were used for biodistribution and pharmacokinetic study, respectively. Detailed information can be found in supplemental information.

#### 157 Establishment of the tumor mice models

Luciferase labeled human well-differentiated HCC cells 158 HepG2-Red-FLuc (PerkinElmer, Waltham, MA) and luciferase 159labeled mouse mammary adenocarcinoma cells 4 T1-Red-FLuc 160 (PerkinElmer, Waltham, MA) were used to establish tumor mice 161 162models. In order to study the performance of REF image-guided cancer surgery and compare with FM image-guided cancer 163 surgery, subcutaneous breast cancer 4 T1 tumor model, orthotopic 164 HCC-bearing mice, and orthotropic-ectopic HCC-bearing mice 165 were established by injecting  $1 \times 10^6$  4 T1 cells in the mice right 166 upper flank,  $5 \times 10^6$  HCC cells in the mice liver lobes, and 167  $5 \times 10^6$  HCC cells in the mice liver lobes and into the peritoneum, 168169 respectively.

### 170 Image-guided cancer surgery protocol

The experimental protocol is shown in Figure S1. FM and REF images were all acquired with an aperture  $f_{num}$  of 1 and 8 × 8 binning. Excitation and emission filter for fluorescent probes were 745 nm and 840 nm, respectively. Emission filter for REFI was 620 nm. Exposure time for REFI was 5 minutes. Other details were introduced as follows.

## *REF and FM image-guided cancer surgery of subcutaneous breast cancer 4 T1 tumor model*

Seven days after the construction of the mice bearing 179subcutaneous 4 T1 tumor, the mice (n = 16) were injected 180 with fluorescent molecular probe MMPSense<sup>™</sup> 750 FAST (20 181 mM, 100 µL, PerkinElmer, Waltham, MA) or IntegriSense™ 182750 (20 mM, 100 µL, PerkinElmer, Waltham, MA), respective-183 ly. Twenty-four hours later, the mice were injected with <sup>18</sup>F-184 FDG (100  $\mu$ Ci, 0.1 mL) and then EO nanoparticles (1 mg/mL, 185 0.1 mL) intravenously. To compare the performance of REFI for 186guiding the tumor resection with FMI, FMI and REFI of the mice 187 were immediately performed after the injection. Based on the 188 189 FM or REF images, the subcutaneous 4 T1 tumor was resected. 190After the resection, the FM (1 s exposure time) and REF images of the mice and the resected tumor were acquired. The tumor 191 signal-to-normal tissue ratios of REFI and FMI were calculated 192 by dividing the intensities of ROIs obtained from the Living 193Image 3.2 software, which drawn ROIs over the corresponding 194 areas. Tumors were frozen in the tissue freezing medium (Leica, 195Buffalo Grove, IL) at -20 °C and resected for microscopic 196colocalization of tumor biomarkers and EO nanoparticles. 197

*REF and FM Image-guided cancer surgery of orthotopic HCC-* 198 bearing mice 199

Two weeks after the construction of the orthotopic 200 HCC-bearing mice, the mice (n = 4) were injected with ICG 201 (100 mg/L, 100  $\mu$ L). Twenty four hours later, the mice were 202 injected with <sup>11</sup>C–CHO (147  $\mu$ Ci, 0.1 mL) and then EO 203 nanoparticles (0.1 mL, 1 mg/mL) intravenously. The mice 204 received the laparotomy and the REF images were acquired. 205 Based on the REF images, the tumor was resected. REFI of the 206 mice and the resected tumor were performed again. Before and 207 after the resection of the tumor, FMI were acquired with 1 s 208 exposure time. After that, all the organs of the mice were 209 dissected and received for REFI and FMI. If any optical signal 210 presented, tissues would be excised based on the optical images. 211 H&E staining was conducted on the cryosection of resected 212 tumor tissues. 213

## REF and FM image-guided cancer surgery of orthotopic and 214 ectopic HCC-bearing mice 215

Two weeks after the construction of the orthotopic and 216 ectopic HCC-bearing mice, the mice (n = 4) were injected with 217 ICG (100 mg/L, 100  $\mu$ L) intravenously. Twenty four hours later, 218 the mice were injected with  ${}^{11}C$ -CHO (100  $\mu$ Ci, 0.1 mL) and 219 then EO nanoparticles (1 mg/mL, 0.1 mL) intravenously. The 220 mice then received the laparotomy and the REF images were 221 acquired. Based on the REF images, the tumors were resected. 222 Before the resection, the FM images of the mice were also 223 acquired with 2.5 s exposure time. After the first surgical 224 operation, FMI and REF images of the mice and the resected 225 tumors were acquired. Based on the results of REFI, whether 226 there was residual tumor or not was evaluated and the results 227 were compared with FMI. The resected tumors were imaged for 228 photograph, REF images and FM images (5 s exposure time). 229 H&E staining was conducted on the paraffin sections of resected 230 tissues. REF (emission: 540 nm, excitation: 500 nm) and FM 231 images (1 s exposure time, emission: 840 nm, excitation: 745 232 nm) of the sections were acquired. 233

### Microscopic colocalization of tumor biomarkers and EO 234 nanoparticles 235

Resected tumors were split into two halves with a lancet. 236 Cryosections and paraffin sections were sliced from the cross 237 section for immunofluorescence staining and H&E staining, 238 respectively. Detailed information can be found in supplemental 239 information. 240

#### Statistical analysis

Data were expressed as mean  $\pm$  standard deviation. Statis- 242 tical significance was determined using the Student *t* test (Prism 243 v6.0, GraphPad, La Jolla, *CA*). Linear regression was deter- 244 mined using Origin Pro v8.0 (OriginLab, Northampton, MA). 245 Differences between groups were considered significantly if 246  $P \le 0.05$ . 247

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Figure 1. Comparison of CLI and REFI of microcentrifuge tubes and black 96-well plate. (A) FMI of the EO nanoparticles (0.107 g) without laser excitation. (B) FMI of EO nanoparticles when excited by the laser of 430 nm. The emission filter was 620 nm. (C) The excitation and emission spectra of EO nanoparticles. (D) CLI of <sup>18</sup>F–FDG (277  $\mu$ Ci, 0.1 mL). (E) CLI and REFI of the two microcentrifuge tubes contained EO nanoparticles and <sup>18</sup>F–FDG approximately 10 mm apart. (F) REF image of EO nanoparticles mixed with <sup>18</sup>F–FDG. (G) Comparison of optical intensity of <sup>18</sup>F–FDG, EO and the mixture of <sup>18</sup>F–FDG and EO nanoparticles. (H) Emission spectra of the mixture of <sup>18</sup>F–FDG and EO nanoparticles (mixed excitation) and EO nanoparticles placed close to <sup>18</sup>F–FDG (separate excitation). (I) REFI of the mixture of EO nanoparticles (10<sup>-12</sup> g; 10<sup>-9</sup> g; 10<sup>-7</sup> g; 10<sup>-5</sup> g; 10<sup>-3</sup> g) and <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci;

#### 248 Results

### 249 Imaging of microcentrifuge tubes and black 96-well plate

No fluorescent signal was found for the EO nanoparticles 250without laser excitation (Figure 1, A), whereas fluorescent signal 251emitted when the sample was excited by the laser of 430 nm 252(Figure 1, B). Figure 1, C shows the excitation and emission 253spectra of EO nanoparticles. Multiple characteristic absorption 254peaks were found at 363, 382, 394, 466 and 535 nm. The 255maximum emission peak of EO nanoparticles was at 613 nm. 256 $^{18}$ F–FDG (277  $\mu$ Ci, 0.1 mL) was placed into a microcentrifuge 257tube and emitted Cerenkov luminescence (Figure 1, D). When 258the microcentrifuge tube contained EO nanoparticles was placed 259close to <sup>18</sup>F-FDG with a distance of approximate 1 cm, intense 260optical signal of the EO nanoparticles was detected (Figure 1, E). 261 The total flux of <sup>18</sup>F-FDG and EO nanoparticles were found to 262be  $1.433 \times 10^7$  p/s and  $1.053 \times 10^6$  p/s, respectively. Interest-263

ingly, when EO nanoparticles and  ${}^{18}\text{F}-\text{FDG}$  were mixed 264 together (Figure 1, *F*), the total flux was measured to be 265 1.091 × 10<sup>9</sup> p/s, that was almost 70 times higher than the sum of 266 the optical signals of EO nanoparticles and  ${}^{18}\text{F}-\text{FDG}$ . 267 Quantification analysis and comparison of optical signal 268 intensity of  ${}^{18}\text{F}-\text{FDG}$ , EO, and the mixture of  ${}^{18}\text{F}-\text{FDG}$  and 269 EO are shown in Figure 1, *G*, which clearly demonstrated signal 270 of much higher intensity generated by the mixture of  ${}^{18}\text{F}-\text{FDG}$  271 and EO nanoparticles than their alone. 272

Emission spectra (Figure 1, *H*) of the mixture of <sup>18</sup>F–FDG 273 and EO (mixed excitation, as in Figure 1, *F*) and EO placed close 274 by <sup>18</sup>F–FDG (separate excitation, as in Figure 1, *E*) showed that 275 the maximum emission peak of the spectrum was 620 nm for 276 both. CLI of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and REFI of the mixture 277 of <sup>18</sup>F–FDG (1  $\mu$ Ci; 0.1  $\mu$ Ci) and different amount of EO 278 nanoparticles (10<sup>-12</sup> g; 10<sup>-9</sup> g; 10<sup>-7</sup> g; 10<sup>-5</sup> g; 10<sup>-3</sup> g) are 279 shown in Figure 1, *I*. It was found that CL signal of <sup>18</sup>F–FDG 280

was very weak at the activity of 1  $\mu$ Ci and almost undetectable at 0.1  $\mu$ Ci (the leftmost images in Figure 1, *I*, mass of EO at 0). Impressively, REF signal was obviously enhanced even when EO added at very low dose (10<sup>-12</sup> g), and the REF intensities continued to increase along with the increased amount of EO nanoparticles (Figure 1, *I*, quantification analysis panel).

#### 287 In vitro cell toxicity of EO nanoparticles

Apoptosis assay by flow cytometry was used to evaluate the 288 biocompatibility of EO nanoparticles. Interestingly, only a 289 minority of Bcap-37 cells showed apoptosis and necrosis with 290the increasing of concentration of EO nanoparticles. At the 291highest concentration of 400 µg/mL, 1.98% and 6.46% of cells 292 showed apoptosis and necrosis, respectively (Figure S2, A, 293right). While in the control group (0  $\mu$ g/mL), 0.79% and 2.09% 294 of cells showed apoptosis and necrosis, respectively, which may 295attribute to the damage of cell membranes caused by trypsin 296 during the harvest of attached cells. The results indicated limited 297 cytotoxicity of EO nanoparticles on Bcap-37 cells. 298

#### 299 In vivo toxicity of EO nanoparticles

H&E staining results showed no obvious morphological change in kidneys, lungs, liver, and spleen of the experiment group (Figure S2, *B*) with EO injection compared with the control group.

#### 304 In vivo blood clearance and biodistribution

In vivo behavior of EO nanoparticles was investigated using 305the standard ICP-MS analysis. The uptake of EO nanoparticles at 306 24 h post-injection was obviously higher than that at 0.5 h and 48 307 h in tumor (Figure S3, A-C). Interestingly, EO nanoparticles 308 showed both liver and kidney uptakes, indicating they can be 309 clearly through both hepatobiliary and kidney-urinary systems. 310 As shown in Figure S3, D, EO nanoparticles also displayed a 311 blood circulation half-time of 8.93 h. The high uptake of EO 312nanoparticles by tumor can be attributed to the EPR effect and 313 the long circulation time. Thus REFI can be used for further 314guiding the surgery of tumors. 315

## REF image-guided cancer surgery of subcutaneous breast cancer 4 T1 tumor model achieved consistent imaging results with FM image-guided cancer surgery

Representative fluorescent image of the mice bearing 319 subcutaneous breast cancer 4 T1 tumor injected with fluorescent 320 molecular probe MMPSense<sup>™</sup> 750 FAST is shown in Figure 2, 321A (left). The white arrow indicated the position of the 322 subcutaneous 4 T1 tumor. Based on the fluorescent image, the 323 subcutaneous tumor was resected (Figure 2, A; right). The REF 324 images obtained using <sup>18</sup>F-FDG and EO nanoparticles of the 4 325T1 tumor mice model before and after the tumor resection are 326 shown in Figure 2, B (before: left; after: right). It showed that 327 REFI clearly detected the subcutaneous tumor and was able to 328 guide the tumor resection. There was significant difference 329 between the SNR of REFI and FMI (Figure 2, C; P < 0.05). 330 Results of FM and REF image-guided tumor-removal surgery of 331 4 T1 tumor mice injected with fluorescent molecular probe 332

IntegriSense<sup>™</sup> 750, and comparison of SNR of REFI and FMI 333 are shown in Figure 2, *D-F*, respectively. 334

Comparison of SNR of REFI and FMI is shown in Figure 2, 335 *G*. SNR of REFI was higher than those of the FMI using two 336 fluorescent probes, especially significantly higher than that of the 337 IntegriSense<sup>TM</sup> 750. Figure 2, *H* and *J* is the immunofluores- 338 cence image of the tumor tissues of the mice injected with 339 MMPSense<sup>TM</sup> 750 FAST and IntegriSense<sup>TM</sup> 750, respectively. 340 Immunofluorescence signals indicated that tumor biomarkers 341 (MMP-2 and  $\alpha_v$ ) were moderately expressed in the tumor tissue, 342 which were in accordance with the results of FMI. Fluorescence 343 of EO nanoparticles showed the intercellular retention of EO 344 nanoparticles in the tumor tissue, which was consistent with the 345 results of REFI. Figure 2, *I* and *K* are the H&E staining results of 346 the resected tumor tissues, respectively. 347

### REF image-guided cancer surgery detected the residual cancer tissue 348

REF image-guided cancer surgery also detected the ortho- 349 topic HCC using <sup>11</sup>C-CHO and EO nanoparticles (Figure 3, A: 350 left). One HCC tumor was clearly identified. Based on the REF 351 image, the orthotopic tumor was resected. The mouse and the 352 resected tumors were then imaged for REFI again (Figure 3, A; 353 right). To compare with FMI, FM images of the mouse before 354 and after the tumor resection were acquired using ICG injected 355 24 hours ago (Figure 3, B). REFI showed much higher SNR than 356 that of FMI (44.05  $\pm$  8.378) vs. 2.173  $\pm$  0.1092) (Figure 3, C). 357 REFI and FMI of all the mouse organs and the orthotopic HCC 358 are shown in Figure 3, D and E, respectively. The REF image of 359 the mouse liver is shown in Figure 3, F. It was found that there 360 were REF signals in the liver lobe besides the mouse gall 361 bladder. Figure 3, G is the FM image of the same mouse liver. 362 Interestingly there was no signal in the liver tissues. SNR of 363 REFI was much higher than that of FMI (Figure 3, H, p<0.05). 364 Based on the REF image of the mouse liver, the residual cancer 365 tissue was resected. After the resection, the photograph and the 366 REF image of the mouse liver is shown in Figure 3, I, 367 respectively. H&E of the orthotopic HCC and surgical margin 368 verified the first and the second resected tissues were HCC 369 (Figure 3, J and K). 370

### *REF image-guided cancer surgery detected invisible ultra-small* 371 size tumor 372

REF image-guided cancer surgery was further conducted on the 373 orthotopic and ectopic HCC-bearing mice (n = 4). After the 374 laparotomy, FMI of the mice was performed using ICG at 24 h 375 post-injection. FM image showed that there were much fluores- 376 cence in the whole mice body (Figure 4, *A*), which did not detect 377 any tumors. However, REF image clearly detected three tumors 378 using <sup>11</sup>C–CHO and EO nanoparticles (Figure 4, *B*). The yellow 379 arrows showed the positions of the three tumors. The SNR of REFI 380 was much higher than that of FMI (83.98 ± 25.35 *vs.* 1.183 ± 381 0.03637; Figure 4, *C*, p<0.05). Based on the REF image, three 382 tumors were resected and acquired for REF image (Figure 4, *D*). 383 After tumor resection, the mice were immediately imaged for FMI 384 (Figure 4, *E*) and for REFI (Figure 4, *F*). There was high 385 fluorescent signal in the whole mice body (Figure 4, *E*). 386 Interestingly, an invisible ultra-small size tumor (0.99 387

![](_page_5_Figure_1.jpeg)

Figure 2. Comparison of FM image-guided cancer surgery and REF image-guided cancer surgery of subcutaneous breast cancer 4 T1 tumor model (n = 4 per group). (A) Representative FMI of the tumor mouse model (left) injected with MMPSense<sup>TM</sup> 750 FAST. The white arrow indicated the position of the tumor. Based on the fluorescent image, the subcutaneous tumor was resected (right). (B) Representative REF images of the mouse injected with <sup>18</sup>F–FDG and EO nanoparticles before and after the tumor resection. (C) Comparison of SNR of FMI using MMPSense<sup>TM</sup> 750 FAST and REFI using <sup>18</sup>F–FDG and EO nanoparticles. (D) FMI of the mouse injected with IntegriSense<sup>TM</sup> 750 before and after the tumor resection (before: left; after: right). The white arrow indicated the position of the subcutaneous 4 T1 tumor. (E) The representative REF images of the mouse injected with <sup>18</sup>F–FDG and EO nanoparticles before: left; after: right). (F) Comparison of SNR of FMI using IntegriSense<sup>TM</sup> 750 and REFI using <sup>18</sup>F–FDG and EO nanoparticles and FMI using two different kinds of fluorescent probes. Both (H) and (J) contain immunofluorescence results of the tumor biomarkers (MMP-2 and  $\alpha_v$ ), fluorescence of EO nanoparticles, DAPI stained nuclei and the overlays of the resected tumor tissues dissected from the mouse which was injected with MMPSense<sup>TM</sup> 750 FAST or IntegriSense<sup>TM</sup> 750. (I) and (K) are the H&E results of the resected tumor tissues respectively.

mm × 0.61 mm) was detected by REFI. SNR of the REFI was 388 significantly higher than that of FMI (Figure 4, G). Based on the 389 REF image, the micro-lesion was resected. After the resection, the 390 mice were imaged for REFI again and no signals were detected 391 (Figure 4, H). Photograph of the representative mouse is shown in 392 Figure 4, *I*. Photograph, REFI, and FMI of the four resected tumors 393 are displayed in Figure 4, J-L, respectively. H&E, REFI, FMI 394 of the sectioned slices of the micro-lesion tissue is depicted in 395 Figure 4, M-O, respectively. 396

## 397 Retaining of EO nanoparticles in the tumor vessels

H&E results showed there were many nanoparticles retaining
in the tumor vessels of the mice bearing Bcap37 tumors (Figure
S4). The black dots were the EO nanoparticles. There were also

some nanoparticles retaining in the tumor tissues, though much 401 less than in the blood vessels. 402

### Discussion

Whether the tumor can be surgically accurately removed is 404 directly related to tumor recurrence and metastasis. Precise tumor 405 resection is closely related to the prognosis and survival of 406 cancer patients.<sup>34</sup> Current clinical surgical navigation research 407 primarily focuses on FMI. The main problem is the high 408 background signal, low SNR caused by the autofluorescence 409 produced by the external laser excitation. Our goal is to develop a 410 novel imaging technique to improve the SNR of the tumor 411

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Z. Hu et al / Nanomedicine: Nanotechnology, Biology, and Medicine xx (2017) xxx-xxx Α before В after before after (×10<sup>4</sup> p/sec/cm<sup>2</sup>/sr (×10<sup>4</sup> p/sec/cm<sup>2</sup>/sr FM (×10<sup>7</sup> p/sec/cm<sup>2</sup>/sr FMI 3.5 × 10<sup>7</sup> p/sec/cm<sup>2</sup>/sr 6.0 0 Radiance Radiance Radiance **REFI-**0 Radiance 5 guided 4 0 surgery .5 (×10<sup>4</sup> p/sec/cm<sup>2</sup>/sr) D REFI : F Е FMI 2.0 Radiance С (×10<sup>7</sup> p/sec/cm<sup>2</sup>/sr) (×10<sup>4</sup> p/sec/cm<sup>2</sup>/sr) Signal intensity 80 Radiance /Background Radiance 60 40 0 (×10<sup>7</sup> p/sec/cm<sup>2</sup>/sr) 20 **G** FM 4.0 Radiance 0 REFI FMI 2.0 3.0 2.0 н J I Κ after surgery Signal intensity /Background (×10<sup>4</sup> p/sec/cm<sup>2</sup>/sr) 6 3.0 Radiance 4 2.0 2 1.0 0 FMI REFI tumor tissue tumor margin

Figure 3. REF image-guided cancer surgery of the orthotopic HCC tumor-bearing mice (n = 4 per group). (A) Representative REFI of the mouse after the laparotomy (left). After the REF image-guided cancer surgery, the mouse and the resected tumor were also imaged for REFI (right). (B) Representative fluorescent images of the mouse before and after the tumor resection. (C) Comparison of SNR of REFI and FMI. (D)-(E) REFI and FMI of all the organs and the orthotopic HCC. (F)-(G) Representative REF and FM image of the mouse liver, respectively. (H) Comparison of quantification of SNR of REFI and FMI of the mouse liver after the tumor second resection, respectively. (J)-(K) H&E of the orthotopic HCC and surgical margin, respectively.

imaging and detect the tumors as much as possible to realize theprecise resection.

This work presents a novel radiopharmaceutical-excited 414 fluorescence (REF) image-guided cancer surgery. The REFI 415 strategy employs dual excitation of EO nanoparticles by both 416 gamma rays and Cerenkov luminescence (CL) emitted from 417 radiopharmaceuticals to generate strong near-infrared fluores-418 cence for image-guided cancer surgery. Importantly, REFI 419 combines the active and specific targeting of radiopharmaceu-420 ticals (such as <sup>18</sup>F-FDG, <sup>11</sup>C-CHO) and passive targeting of 421 EO nanoparticles via EPR effect, and therefore it leads to high 422 imaging sensitivity and specificity.<sup>32</sup> In this work, experimental 423 results further demonstrate that REF image-guided cancer 424 surgery can detect the residual cancer tissues as well as invisible 425micro-lesions (Figures 3 and 4). 426

Firstly, through *in vitro* cell experiments, the toxicity of EO nanoparticles in cells was tested. The results show that EO nanoparticles have no significant impact to the cell to induce cell apoptosis (Figure S2, *A*).

431 Then *in vitro* experiment was designed to demonstrate that 432 the EO nanoparticles illuminated when excited by radiopharmaceuticals, suggesting its possible usage for *in vivo* imaging of 433 tumor mice models. As expected, EO nanoparticles alone do not 434 produce any light without laser excitation (Figure 1, *A*). Under 435 laser illumination, EO nanoparticles generate intense fluores- 436 cence (Figure 1, *B*). More interestingly, under the irradiation of 437 radiopharmaceuticals, near-infrared fluorescence was produced 438 with maximum emission peak at 620 nm (red; Figure 1, *H*). By 439 mixing the <sup>18</sup>F–FDG and EO nanoparticles, strong near-infrared 440 fluorescent light emits (Figure 1, *F*) and its peak is 620 nm 441 (black; Figure 1, *H*). The total flux is almost 70 times higher than 442 the sum of the optical signals of EO nanoparticles and <sup>18</sup>F–FDG 443 alone. Further study also indicated that EO of very low dose can 444 be excited by radiopharmaceuticals of very low dose to produce 445 optical signal (Figure 1, *I*).

Experiment results of the mice bearing subcutaneous 4 T1 447 breast cancer shows that REF-image guided surgery can achieve 448 similar results as FM-image guided surgery, and subcutaneous 449 tumors can be successfully identified and removed using both 450 approaches. H&E staining results demonstrate the resected tissue 451 is tumor tissues. The feasibility of REFI surgical navigation has 452 been validated. Interestingly, the results show that SNR of REFI 453

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![](_page_7_Figure_2.jpeg)

Figure 4. REF image-guided cancer surgery of the orthotopic and ectopic HCC tumor-bearing mice (n = 4 per group). (A) FMI of the mouse after the laparotomy. (B) REF image of the mouse after the laparotomy. The yellow arrows showed the position of the three tumors. (C) The comparison of quantification of SNR of REFI and FMI. (D) REF image of the three resected tumors. (E) The fluorescent image of the mouse after three tumor resection. (F) REFI of the mouse after three tumor resection. The red arrow showed the position of the invisible ultra-small size tumor. (G) Comparison of SNR of the micro-lesion of REFI and FMI. (H)-(I) REF image and photograph of the mouse after the micro-lesion was resected, respectively. (J)-(L) Photograph, REFI, and FMI of the four resectively. (M)-(O) H&E, REFI, FMI of the sectioned slices of the tumor tissue of the micro-lesion.

is higher than that obtained through FMI, demonstrating the
advantages of REFI over FMI. It should be pointed out that REFI
surgical navigation can likely be carried out for a variety of
tumor imaging, not limited to tumor types reported in this study,
because <sup>18</sup>F–FDG can target a variety of tumors through specific
accumulation and EO nanoparticles can also accumulate in
tumor through EPR effects.

The experiment of orthotopic liver tumor mouse model confirmed REFI not only detected the orthotopic liver tumor and successfully guided the tumor resection, but also detected the residual tumor tissue. In comparison, FMI only detected orthotopic liver tumor (Figure 3). The experimental results demonstrated the superiority of REFI in the detection of residual tumor tissues over FMI.

For the experiment of the orthotopic and ectopic HCC tumor-bearing mice, ICG was chosen as the fluorescent probe for FM image-guided cancer surgery because ICG is widely used in clinical liver cancer imaging. It was found that, after laparotomy, REFI detected three tumors in the abdominal cavity, and thus all of these three tumors were dissected. After the tumor removal, the mice received FMI and REFI again. Interestingly, FMI did 474 not find any signal, while REFI identified an invisible tiny tumor 475 (less than 1 mm) in the abdominal cavity, as shown in Figure 4, 476 *F*. The reason why REFI can find the invisible tiny tumor which 477 FMI cannot detect was correlated with the high SNR of REFI. 478 The size of the tumor detected by REFI is 0.99 mm  $\times$  0.61 mm, 479 smaller than that described in the literature.<sup>32</sup> 480

In this study, <sup>18</sup>F–FDG and <sup>11</sup>C–CHO were used in the <sup>481</sup> experiment. In the future work, other radionuclides, such as the <sup>482</sup> therapeutic alpha and beta emitters, will be considered to be <sup>483</sup> employed for the REFI experiment. Furthermore, active <sup>484</sup> targeting with the EO nanoparticles labeled by the specific <sup>485</sup> biomarkers will be constructed. <sup>486</sup>

In short, this study presents a novel optical image-guided 487 cancer surgery strategy using nanoparticle-mediated 488 radiopharmaceutical-excited fluorescence molecular imaging, 489 which employs the internal dual excitation of EO nanoparticles 490 by both gamma rays and Cerenkov luminescence of radiophar- 491 maceuticals. REF image-guided cancer surgery technique 492 exhibits the excellent performance of detecting invisible 493

ultra-small size tumor (less than 1 mm) and residual cancer
tissue. Our study demonstrates the high potential of the
novel REF image-guided cancer surgery technique for precise
tumor resection.

#### 498 Appendix A. Supplementary data

Supplementary data to this article can be found online at http://dx.doi.org/10.1016/j.nano.2017.01.005.

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