


Adaptive-Neural-Network-Based Trajectory Tracking Control for a Nonholonomic Wheeled Mobile Robot With Velocity Constraints

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Abstract—In this article, an adaptive neural network control scheme is presented for an uncertain wheeled mobile robot (WMR) with velocity constraints and nonholonomic constraints. In practice, dynamic parameters of the system, which may change in some conditions, are hard to obtain precisely, and the velocity of the WMR should be constrained for safety. To deal with the uncertainty of the robot, adaptive neural networks are used to approximate unknown robotic dynamics, and the barrier Lyapunov function is used to guarantee the constraint on velocity. The tracking error of the closed-loop system is proven to converge to a small neighborhood of zero. Both simulation studies and practical experiments are provided to illustrate the effectiveness of the proposed control scheme.

Index Terms—Adaptive neural networks, barrier Lyapunov function (BLF), velocity constraint, wheeled mobile robot (WMR).

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I. INTRODUCTION

AS AN important branch of mobile robot [1]–[3], the wheeled mobile robot (WMR) has been deeply investigated and widely applied in many fields, such as service industry, industry, national defense industry, agriculture, etc. [4]–[6].

The WMR is a typical class of nonholonomic system [7], [8]. Based on the assumption that wheeled robots do not slip during movement, which is mathematically equivalent to a set of nonintegrable first-order differential constraints, nonholonomic constraints can be intuitively visualized in a situation where the mobile robot cannot experience lateral translations, and the velocity in that direction cannot be integrated [9]. In accordance with Brockett's theorem [10], nonholonomic systems cannot be stabilized merely through smooth time-invariant feedback control laws [11]. Thus, it is generally a challenging task to develop a proper controller to achieve stabilization and trajectory tracking of the nonholonomic WMR [12].

In WMR systems, speed of wheels and the maximum angular velocity are always limited due to safety consideration [13]. If the control strategy is designed without considering these constraints, the system would perform poorly and even incur instability [14]. Many efforts have been devoted to the control of mobile robots with velocity constraints. In [15], a sliding-mode control (SMC) scheme was proposed to stabilize a mobile robot while adhering to the physical constraints on its configuration variables. The design of controller gains was in accordance with the restrictions on the initial condition domain. In [16], a physical-limit-constrained minimum velocity norm coordinating scheme for a wheeled mobile redundant manipulator was proposed. Such a scheme can not only coordinate the mobile platform and the manipulator to fulfill the end-effector task with optimal index, but also consider the physical limits of the robot. However, in aforementioned works, the system is considered with known parameters, which are almost impossible to be obtained precisely in practice. For example, it is very difficult to obtain friction and damping coefficients due to uncertainties of the pavement, and some system parameters are unknown because of the absence of detailed specification.

In recent years, the research of neural network (NN)-based tracking algorithms for the WMR with unknown system parameters has attracted attention from many scholars. NNs can approximate nonlinear functions with arbitrary precision under certain regions [17]–[20] and have been widely used to deal

with control problems of uncertain nonlinear systems [21]–[25]. Many efforts have been made to solve the tracking problem of the WMR with unknown system parameters. In [26], an NN approach for the tracking problem of mobile robots was proposed. Nonlinear approximation capabilities of NNs have been used to improve the control performances of classical kinematic feedback control schemes. In [27], an adaptive-NN-based tracking control algorithm was proposed for the WMR system with full state constraints. If system parameters are chosen properly, the proposed scheme can guarantee uniform ultimate boundedness for all signals in the WMR system, and the tracking error converges to a bounded compact set of zero.

However, only a few papers considered the dynamic WMR system with velocity constraints for position trajectory tracking based on NNs. The constraints for velocity need to be considered in the design of NN adaptive laws and Lyapunov functions, which will bring more challenges due to the effect of velocity constraints for NN adaptive laws. In [27], the system was considered with full state constraints, which come from the limitations for the forward speed of wheels and steering angular velocity. While the controller in [27] was designed to track the velocity trajectory, the decrement of position errors was not considered. When the initial position error is large, in order to reduce position errors, the auxiliary input velocity may be greater than constraint values. The control law in [27] cannot cope with this situation.

In this article, an adaptive-NN-based control scheme is proposed for an uncertain WMR system with nonholonomic constraints and velocity constraints. With the proposed method, the position errors can converge to a small neighborhood of zero, while the velocity constraints are not violated. The main contributions of this article are as follows.

1) An adaptive NN control scheme for an uncertain WMR system is designed to approximate uncertain or time-varying parameters. As a result, the robustness of the WMR system is improved effectively.

2) Velocity constraints are not violated with the proposed control. The barrier Lyapunov function is used to design the control law to prevent the violation of velocity constraints.

3) The proposed method can cope with the case that the auxiliary input velocity is greater than constraints.

The rest of this article is organized as follows. Section II gives preliminaries and dynamics of a WMR system. Controller designs are given in Sections III and IV. In Section V, the performance of the proposed control scheme is illustrated by simulations. Section VI provides experimental results. Finally, Section VII concludes this article.

II. PRELIMINARIES AND PROBLEM FORMULATION

A. Lemmas and Definitions

Definition 1: The operator \otimes is defined as

$$\begin{aligned} x \otimes y &= [x_1, x_2, \dots, x_n]^T \otimes [y_1, y_2, \dots, y_n]^T \\ &= [x_1 y_1, x_2 y_2, \dots, x_n y_n]^T \quad \forall x, y \in \mathbb{R}^n \end{aligned}$$

where $x = [x_1, x_2, \dots, x_n]^T$ and $y = [y_1, y_2, \dots, y_n]^T$ are vectors.

Definition 2: The function $\text{sgn}(\cdot)$ is defined as

$$\text{sgn}(x) = \begin{cases} 1, & x > 0 \\ 0, & x = 0. \\ -1, & x < 0 \end{cases}$$

B. Problem Formulation

Considering a three-degree-of-freedom WMR [28], [29]

$$M(q)\ddot{q} + C(q, \dot{q})\dot{q} + F(\dot{q}) = B(q)\tau + A(q)\lambda \quad (1)$$

where $q = [q_1, q_2, q_3]^T = [x, y, \theta]^T$ is the position vector, and $M(q) \in \mathbb{R}^{3 \times 3}$ is a symmetric positive-definite inertia matrix with the form of

$$M(q) = \text{diag}[m, m, I]^T \quad (2)$$

where m is the mass of the WMR, I is the moment of inertia of the WMR, $C(q, \dot{q}) \in \mathbb{R}^{3 \times 3}$ is the centripetal and Coriolis matrix, $B(q) \in \mathbb{R}^{3 \times 2}$ is the input transformation matrix, $\tau \in \mathbb{R}^{2 \times 1}$ is the torque input vector, $F(\dot{q}) \in \mathbb{R}^{3 \times 1}$ denotes the friction vector, and $A(q)\lambda \in \mathbb{R}^{3 \times 1}$ is the nonholonomic constraint force.

The nonholonomic constraint of the robot is

$$\dot{x} \cos(\theta) + \dot{y} \sin(\theta) = 0. \quad (3)$$

Nonholonomic constraints can be written as $A(q)\dot{q} = 0$. $H(q)$ is formed by a set of smooth and linearly independent vector fields spanning the null space of $A(q)$, i.e., $H^T(q)A(q) = 0$. Then, (1) can be reformed as

$$\begin{aligned} \dot{q} &= H(q)v \\ M_1(q)\dot{v} + C_1(q, \dot{q})v + F_1(q, \dot{q}) &= B_1(q)\tau \end{aligned} \quad (4)$$

where $M_1(q) = H^T(q)M(q)H(q)$, $C_1(q, \dot{q}) = H^T(q)[M(q)\dot{H}(q) + C(q, \dot{q})H(q)]$, $F_1(q, \dot{q}) = H^T(q)F(\dot{q})$, and $B_1(q) = H^T(q)B(q)$.

Choose

$$H(q) = \begin{bmatrix} -\sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}^T. \quad (5)$$

Then, we have

$$v = [v_1 \ v_2]^T = [v_1 \ w]^T \quad (6)$$

where v_1 and w are the linear and angular velocities, respectively. For $H(q)$, one has $C_1 = 0$ and $M_1 = \text{diag}[M_{111}, M_{122}]^T = \text{diag}[m, I]^T$. M_1 is a constant diagonal matrix. Velocity constraints are $|v_i| < v_{u1i}$, $i = 1, 2$, and $v_{u1} = [v_{u11}, v_{u12}]^T$. Similar to [30], the following auxiliary velocity input ensures that the position tracking errors of q are asymptotically stable:

$$v_{c0} = \begin{bmatrix} v_{c01} \\ v_{c02} \end{bmatrix} = \begin{bmatrix} v_{1d} \cos e_3 + k_1 e_1 \\ v_{2d} + k_2 v_{1d} e_2 + k_3 \sin e_3 \end{bmatrix} \quad (7)$$

where k_1 , k_2 , and k_3 are positive constants with $k_2 \geq 1$. v_{1d} and v_{2d} are desired velocity with $0 < v_{1d\min} < v_{1d} < v_{1d\max} <$

v_{u11} . The tracking errors are defined as

$$e = \begin{bmatrix} e_1 \\ e_2 \\ e_3 \end{bmatrix}^T = \begin{bmatrix} -\sin(\theta) & \cos(\theta) & 0 \\ -\cos(\theta) & -\sin(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}^T (q_d - q). \quad (8)$$

The control objective is to design an adaptive NN control scheme such that the WMR can track the desired position trajectory while velocity constraints are not violated.

III. MODEL-BASED CONTROL

A. Design of Model-Based Control

We propose the model-based control law as

$$\tau = B_1^{-1} \left\{ M_1 \left\{ \begin{bmatrix} A'_1 \\ A'_2 \end{bmatrix} \otimes \left(-\rho \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} + (v - v_{c1}) \otimes \dot{v}_{c0} \right) \right\} + F_1 \right\} \quad (9)$$

where ρ is a positive constant and $A'_i = \begin{cases} \frac{1}{A_i}, & A_i \neq 0 \\ 0, & A_i = 0 \end{cases}$, $i = 1, 2$; $A = [A_1, A_2]^T$ is defined as

$$A = v - v_{c0} + \begin{bmatrix} \frac{\text{sgn}(v_1)h_1(v_1)}{v_{u11} - |v_1|}, \frac{\text{sgn}(v_2)h_2(v_2)}{v_{u12} - |v_2|} \end{bmatrix}^T \quad (10)$$

where $h_i(x)$, $i = 1, 2$, are defined as

$$h_i(x) = \begin{cases} 1, & |x| > v_{u2i} \\ 0, & \text{others} \end{cases}. \quad (11)$$

V_1 is the value of the Lyapunov function, and $V_1 = V_{11} + V_{12}$. The Lyapunov function is chosen as

$$V_1 = \frac{1}{2} z^T z + f(v) + g(v_{c0}) \quad (12)$$

where

$$z = [z_1, z_2]^T = v - v_{c0} \quad (13)$$

$$f(v) = f_1(v) + f_2(v) \quad (14)$$

$$f_i(v) = \begin{cases} -\ln \frac{v_{u1i} - |v_i|}{v_{u1i} - v_{u2i}}, & |v_i| > v_{u2i} \\ 0, & \text{others} \end{cases} \quad (15)$$

$$g(v_{c0}) = -\left\{ \frac{1}{2} (v_{c1} - v_{c0})^T (v_{c1} - v_{c0}) + f(v_{c1}) \right\} \quad (16)$$

where $v_{u2} = [v_{u21}, v_{u22}]^T$ is a positive constant matrix and $0 < v_{u2i} < v_{u1i}$. v_{c1} is the minimum point of $\{\frac{1}{2} z^T z + f(v)\}$ and is written as

$$v_{c1} = [v_{c11}, v_{c12}]^T \quad (17)$$

$$v_{c1i} = \begin{cases} \text{sgn}(v_{c0i}) C_{v_{c1}}, & |v_{c0i}| > v_{u2i} + \frac{1}{v_{u1i} - v_{u2i}} \\ \text{sgn}(v_{c0i}) v_{u2i}, & v_{u2i} < |v_{c0i}| \leq v_{u2i} + \frac{1}{v_{u1i} - v_{u2i}} \\ v_{c0i}, & |v_{c0i}| \leq v_{u2i} \end{cases} \quad (18)$$

for $i = 1, 2$, where $C_{v_{c1}} = \frac{|v_{c0i}| + v_{u1i} - \sqrt{(|v_{c0i}| - v_{u1i})^2 + 4}}{2}$.

V_1 is a continuous positive function when the velocity meets the constraint, because $f(v)$ is continuous, $g(v_{c0})$ is a continuous

function independently of v , and $-g(v_{c0})$ equals the minimum of $\frac{1}{2} z^T z + f(v)$. For $|v_i| < v_{u1i}$, $i = 1, 2$, if $v_i \rightarrow v_{u1i}$, it has $V_1 \rightarrow \infty$, so the Lyapunov function (12) can help design controller that can constrain velocities.

Note that barrier Lyapunov functions in other WMR control methods require that the desired values cannot exceed constraints. However, in this article, the desired values of the barrier Lyapunov function are auxiliary velocity input, which may exceed the constraints when the WMR is far away from the desired position. Therefore, the Lyapunov function (12) is designed, which can deal with this situation. Equation (12) can be divided into three parts: $\frac{1}{2} z^T z$ is used for decreasing $\|v - v_{c0}\|$, $f(v)$ is used to constrain the velocity, and $g(v_{c0})$ is used to ensure that the minimum value of V_1 is 0.

V_1 can be divided into two parts, which correspond to v_1 and v_2 , as follows:

$$V_1 = V_{11} + V_{12} \quad (19)$$

where

$$V_{1i} = \frac{1}{2} (v_i - v_{c0i})^2 + f_i(v_i) - \frac{1}{2} (v_{c1i} - v_{c0i})^2 - f_i(v_{c1i}). \quad (20)$$

B. Stability Analysis of the Model-Based Control Law

Theorem 1: For the system described by (1), with the control law (9), for initial states satisfying the constraints $|v_i| < v_{u1i}$, $i = 1, 2$, the states of the system would not violate the constraints. When $|v_{c0i}| < v_{u2i}$, $i = 1, 2$, velocity error signals z and position error signals e would converge to zero. The closed-loop control system is asymptotically stable.

Proof: Differentiating V_1 with respect to time yields

$$\dot{V}_1 = z\dot{z} + f'(v) + g'(v_{c0}) \quad (21)$$

where

$$f'(v) = \sum_{i=1}^2 \begin{cases} \frac{\text{sgn}(v_i)}{v_{u1i} - |v_i|} \dot{v}_i, & |v_i| > v_{u2i} \\ 0, & \text{others} \end{cases} \quad (22)$$

$$\begin{aligned} g'(v_{c0}) &= \{-z\dot{z} - f'(v)\}|_{v=v_{c1}} \\ &= -(v_{c1} - v_{c0})^T (\dot{v}_{c1} - \dot{v}_{c0}) \\ &\quad - \sum_{i=1}^2 \begin{cases} \frac{\text{sgn}(v_{c1i}) \dot{v}_{c1i}}{v_{u1i} - |v_{c1i}|}, & |v_{c1i}| > v_{u2i} \\ 0, & \text{others} \end{cases}. \end{aligned} \quad (23)$$

In order to simplify (23), classify v_{c0i} into the following three conditions.

- 1) If $|v_{c0i}| \leq v_{u2i}$, then $v_{c1i} = v_{c0i}$ and $(v_{c1i} - v_{c0i})\dot{v}_{c1} = 0$.
- 2) If $|v_{u0i}| < |v_{c0i}| \leq v_{u2i} + \frac{1}{v_{u1i} - v_{u2i}}$, then v_{c1i} is constant and $\dot{v}_{c1} = 0$.
- 3) If $|v_{c0i}| > v_{u2i} + \frac{1}{v_{u1i} - v_{u2i}}$, then $v_{u2i} < |v_{c1i}| < v_{u1i}$; then, $\frac{1}{2} (v_i - v_{c0i})^2$ and $f_i(v)$ are both smooth at $v_i = v_{c1i}$. As v_{c1} is the minimum point of $\{\frac{1}{2} z^T z + f(v)\}$, we have $\frac{\partial \{\frac{1}{2} z^T z + f(v)\}}{\partial v_i} |_{v_i=v_{c1i}} = v_{c1i} - v_{c0i} + \frac{\text{sgn}(v_{c1i})}{v_{u1i} - |v_{c1i}|} = 0$.

Finally, for any $v_{c0i} \in \mathbb{R}, i = 1, 2$, we have

$$(v_{c1} - v_{c0})^T \dot{v}_{c1} + \sum_{i=1}^2 \begin{cases} \frac{\text{sgn}(v_{c1i})v_{c1i}}{v_{u1i} - |v_{c1i}|}, & |v_{c1i}| > v_{u2i} \\ 0, & \text{others} \end{cases} = 0. \quad (24)$$

Then, substituting (24) into (23), we obtain

$$g'(v_{c0}) = -(v_{c1} - v_{c0})^T \dot{v}_{c0}. \quad (25)$$

Substituting (10), (22), and (25) into (21) yields

$$\dot{V}_1 = A^T \dot{v} - (v - v_{c1})^T \dot{v}_{c0}. \quad (26)$$

Dividing \dot{V}_1 into two parts corresponding to v_1 and v_2 , we obtain

$$\dot{V}_1 = \dot{V}_{11} + \dot{V}_{12} \quad (27)$$

$$\begin{bmatrix} \dot{V}_{11} \\ \dot{V}_{12} \end{bmatrix} = A \otimes \dot{v} - (v - v_{c1}) \otimes \dot{v}_{c0}. \quad (28)$$

Substituting (4) and (9) into (28) yields

$$\begin{bmatrix} \dot{V}_{11} \\ \dot{V}_{12} \end{bmatrix} = \rho \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix}. \quad (29)$$

Substituting (19) and (29) into (27) yields

$$\dot{V}_1 = -\rho V_1. \quad (30)$$

According to the above Lyapunov function, we can conclude that V_1 can converge to zero. When $|v_{c0i}| < v_{u2i}, i = 1, 2$, one has $v_{c1} = v_{c0}$ and $V_1 = \frac{1}{2}z^T z$. Therefore, z can converge to zero.

To further prove the convergence of position errors e , we rewrite the Lyapunov function in [30] as

$$V_2 = \frac{1}{2}(e_1^2 + e_2^2) + (1 - \cos e_3)/k_2 \quad (31)$$

$$\dot{V}_2 = \dot{e}_1 e_1 + \dot{e}_2 e_2 + \dot{e}_3 \text{sine}_3 / k_2 \quad (32)$$

where

$$\dot{e}_1 = e_2 v_2 - v_1 + v_{1d} \text{cose}_3 \quad (33)$$

$$\dot{e}_2 = -e_1 v_2 + v_{1d} \text{sine}_3 \quad (34)$$

$$\dot{e}_3 = v_{2d} - v_2. \quad (35)$$

Substituting (33)–(35) into (32) yields

$$\begin{aligned} \dot{V}_2 &= (-v_1 + v_{1d} \text{cose}_3) e_1 \\ &+ v_{1d} \text{sine}_3 e_2 + \frac{(v_{2d} - v_2) \text{sine}_3}{k_2}. \end{aligned} \quad (36)$$

Substituting (7) and (13) into (36) yields

$$\begin{aligned} \dot{V}_2 &= -k_1 e_1^2 - z_1 e_1 - \frac{k_3 \text{sine}_3^2}{k_2} - \frac{z_2 \text{sine}_3}{k_2} \\ &= -k_1 \left(e_1 + \frac{z_1}{2k_1} \right)^2 - \frac{k_3}{k_2} \left(\text{sine}_3 + \frac{z_2}{2k_3} \right)^2 + \frac{z_1^2}{4k_1} + \frac{z_2^2}{4k_2 k_3}. \end{aligned} \quad (37)$$

For the convergence of z , we have that for any $\varepsilon_0 > 0$, there exists T_z ; when $t > T_z$, we have $\|z\| < \varepsilon_0$. Therefore, when

$t > T_z$, we have

$$\dot{V}_2 \leq -k_1 \left(e_1 + \frac{z_1}{2k_1} \right)^2 - \frac{k_3}{k_2} \left(\text{sine}_3 + \frac{z_2}{2k_3} \right)^2 + \varepsilon_1 \quad (39)$$

where $\varepsilon_1 = \frac{\varepsilon_0^2}{4k_1} + \frac{\varepsilon_0^2}{4k_2 k_3}$. From (39), we can know that when $|e_1| > \sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}$ or $|\sin e_3| > \sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}}$, $\dot{V}_2 < 0$. Because ε_0 can be arbitrary small, we can choose proper ε_0 satisfying $\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}} < \frac{\pi}{4}$. If $|e_3| > 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}})$, then $|\sin e_3| > \sin 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}}) > \sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}}$ and $\dot{V}_2 < 0$. Therefore, when $\|e\| > (\sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}) + 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}}) + l\{(\sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}) + 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}})\}$, where l is the minimum value that satisfies $l > 5$, $\frac{10}{lk_2 v_{1dmin}} < 0.2$, $\frac{k_3}{2k_2 l^2} < 0.5$, $\frac{k_3}{k_2 v_{1dmin} l} < 0.1$, $1.1 v_{2max} \frac{10}{lk_2 v_{1dmin}} + v_{1dmax} (\frac{1}{l} + \frac{11v_{1dmax}}{lv_{1dmin}} + \frac{k_3}{5l}) \frac{10}{lk_2 v_{1dmin}} < 0.2$, and $\frac{k_3}{lk_2 v_{1dmin}} (1 + 1.1k_2 v_{1dmax} \frac{10}{v_{1dmin}} + \frac{k_3}{5}) < 0.2$, we have the following two conditions.

1) If $|e_1| > \sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}$ or $|e_3| > 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}})$, then $\dot{V}_2 < 0$.

2) If $|e_1| < \sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}$ and $|e_3| < 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}})$, then $|e_2| > l\{(\sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}) + 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}})\}$, $|e_2| > \frac{l\varepsilon_0}{k_3} > \frac{l|z_2|}{k_3}$, $|e_2| > l|e_1|$, and $|e_2| > l|e_3|$.

For condition 2, setting the time of $|e_1| < \sqrt{\frac{1}{k_1} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}}$ and $|e_3| < 2(\sqrt{\frac{k_2}{k_3} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}})$ as t_0 , we obtain $|e_2(t=t_0)| > \frac{l|z_2|}{k_3}$. Let $T = \frac{10}{lk_2 v_{1dmin}} < 0.2$. In order to analyze the mean value of \dot{V}_2 for $t_0 < t < t_0 + T$, we need to analyze the variation range of e_1 , e_2 , and e_3 . From $|e_2| < l|e_1|$, $|e_2| > l|e_3|$, and (31), we obtain

$$\begin{aligned} V_{2(t=t_0)} &= \frac{1}{2}(e_{1(t=t_0)}^2 + e_{2(t=t_0)}^2) + \frac{(1 - \cos e_{3(t=t_0)})}{k_2} \\ &< \left(\frac{k_2 + 1}{2k_2 l^2} + \frac{1}{2} \right) e_{2(t=t_0)}^2. \end{aligned} \quad (40)$$

For $|e_{2(t=t_0)}| > 2l\sqrt{\frac{k_2}{k_3} \varepsilon_1}$, we have $\dot{V}_2 < \varepsilon_1 < \frac{k_3}{4l^2 k_2} e_{2(t=t_0)}^2 < \frac{k_3}{2l^2 k_2} V_{2(t=t_0)}$. In the time between $t_0 < t < t_0 + T$, we have

$$V_2 = V_{2(t=t_0)} + \int_{t_0}^t \dot{V}_2 dt. \quad (41)$$

Substituting $\dot{V}_2 < \frac{k_3}{2l^2 k_2} V_{2(t=t_0)}$, $\frac{k_3}{2l^2 k_2} < 0.5$, $T < 0.2$, (40), $k_2 \geq 1$, and $l > 5$ into (41), we obtain

$$\begin{aligned} V_2 &< V_{2(t=t_0)} + \frac{k_3}{2l^2 k_2} V_{2(t=t_0)} T < 1.1 V_{2(t=t_0)} \\ &< 1.1 \left(\frac{k_2 + 1}{2k_2 l^2} + \frac{1}{2} \right) e_{2(t=t_0)}^2 < 0.594 e_{2(t=t_0)}^2. \end{aligned} \quad (42)$$

Substituting (42) into (31) yields

$$|e_2| < \sqrt{2V_2} < \sqrt{2 \times 0.594 e_2^2(t=t_0)} < 1.1|e_2(t=t_0)| \quad (43)$$

$$|e_1| < \sqrt{2V_2} < 1.1|e_2(t=t_0)|. \quad (44)$$

For $\dot{e}_3 = -k_2 v_{1d} e_2 - k_3 \sin e_3 + z_2$, we have

$$e_3 = e_3(t=t_0) + \int_{t_0}^t (-k_2 v_{1d} e_2 - k_3 \sin e_3 + z_2) dt. \quad (45)$$

For the term $-k_3 \sin e_3$ in \dot{e}_3 that will decrease the absolute value of e_3 , we have

$$|e_3| < |e_3(t=t_0)| + \int_{t_0}^t |-k_2 v_{1d} e_2 + z_2| dt. \quad (46)$$

Substituting (43), $|e_2(t=t_0)| > \frac{l|z_2|}{k_3}$, and $T < 0.2$ into (46), we obtain

$$\begin{aligned} |e_3| &< |e_3(t=t_0)| + k_2 v_{1d \max} e_{2 \max} T + |z_2| T \\ &< |e_3(t=t_0)| + 1.1 k_2 v_{1d \max} |e_2(t=t_0)| T + \frac{k_3 |e_2(t=t_0)|}{l} T \\ &< \frac{|e_2(t=t_0)|}{l} + \frac{11 v_{1d \max} |e_2(t=t_0)|}{l v_{1d \min}} + \frac{k_3 |e_2(t=t_0)|}{5l} \end{aligned} \quad (47)$$

for

$$\begin{aligned} |e_2| &> |e_2(t=t_0)| - |\dot{e}_2|_{\max} T \\ &> |e_2(t=t_0)| - (|e_1|_{\max} |v_2|_{\max} + v_{1d \max} |e_3|_{\max}) T. \end{aligned} \quad (48)$$

Substituting (47), $T = \frac{10}{l k_2 v_{1d \min}}$, and $1.1 v_{2 \max} \frac{10}{l k_2 v_{1d \min}} + v_{1d \max} (\frac{1}{l} + \frac{11 v_{1d \max}}{l v_{1d \min}} + \frac{k_3}{5l}) \frac{10}{l k_2 v_{1d \min}} < 0.2$ into (48), we obtain

$$\begin{aligned} |e_2| &> |e_2(t=t_0)| - \left\{ 1.1 |e_2(t=t_0)| v_{2 \max} T + v_{1d \max} \left(\frac{1}{l} |e_2(t=t_0)| \right. \right. \\ &\quad \left. \left. + \frac{11 v_{1d \max} |e_2(t=t_0)|}{l v_{1d \min}} + \frac{k_3 |e_2(t=t_0)|}{5l} \right) T \right\} \\ &> |e_2(t=t_0)| - 0.2 |e_2(t=t_0)| = 0.8 |e_2(t=t_0)|. \end{aligned} \quad (49)$$

If $e_2 > 0$, then

$$\begin{aligned} \dot{e}_3 &= -k_2 v_{1d} e_2 - k_3 \sin e_3 + z_2 \\ &< -0.8 k_2 v_{1d} |e_2(t=t_0)| + k_3 (|\sin e_3| + \frac{|e_2(t=t_0)|}{l}). \end{aligned} \quad (50)$$

For $\frac{k_3}{k_2 v_{1d \min} l} < 0.1$, we have

$$\begin{aligned} \dot{e}_3 &< -0.7 k_2 v_{1d \min} |e_2(t=t_0)| + k_3 |\sin e_3| \\ &< -k_2 v_{1d \min} \left(0.7 |e_2(t=t_0)| - \frac{k_3 |e_2(t=t_0)|}{k_2 v_{1d \min} l} \left(1 \right. \right. \\ &\quad \left. \left. + 1.1 k_2 v_{1d \max} \frac{10}{v_{1d \min}} + \frac{k_3}{5} \right) \right) \\ &< -k_2 v_{1d \min} |e_2(t=t_0)| (0.7 - 0.2) < 0. \end{aligned} \quad (51)$$

Then, for $t_0 < t < t_0 + \frac{3}{5}T$, from (39), we have

$$\dot{V}_2 < \varepsilon_1. \quad (52)$$

For $t_0 + \frac{3}{5}T < t < t_0 + T$, we have

$$\begin{aligned} e_3 &< e_3(t=t_0 + \frac{3}{5}T) = e_3(t=t_0) + \int_{t_0}^{t_0 + \frac{3}{5}T} \dot{e}_3 \\ &< e_3(t=t_0) - 0.5 k_2 v_{1d \min} |e_2(t=t_0)| \frac{3}{5} \frac{10}{l k_2 v_{1d \min}} \\ &< e_3(t=t_0) - \frac{3}{l} |e_2(t=t_0)| < -\frac{2}{l} |e_2(t=t_0)| \\ &< -4 \left(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{|z_2|}{2k_3} \right). \end{aligned} \quad (53)$$

Similarly, if $e_2 < 0$, for $t_0 + \frac{3}{5}T < t < t_0 + T$, we have

$$e_3 > 4 \left(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{|z_2|}{2k_3} \right). \quad (54)$$

Therefore, we have

$$\dot{V}_2 < -\frac{k_3}{k_2} \left(\sin e_3 + \frac{z_2}{2k_3} \right)^2 + \varepsilon_1 < -3\varepsilon_1 \quad (55)$$

and

$$\begin{aligned} \int_{t_0}^{t_0+T} \dot{V}_2 &= \int_{t_0}^{t_0 + \frac{3}{5}T} \dot{V}_2 + \int_{t_0 + \frac{3}{5}T}^{t_0+T} \dot{V}_2 \\ &< \frac{3}{5}T \varepsilon_1 - \frac{2}{5}T 3\varepsilon_1 = -\frac{3}{5}T \varepsilon_1. \end{aligned} \quad (56)$$

Therefore, we can obtain that for $t_0 < t < t_0 + T$, the mean value of \dot{V}_2 is less than $-\frac{3}{5}\varepsilon_1$ and \dot{V}_2 is less than ε_1 .

Finally, we have that if $\|e\| > (\sqrt{\frac{1}{k_1}} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}) + 2(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}) + l\{(\sqrt{\frac{1}{k_1}} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}) + 2(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{\varepsilon_0}{2k_3})\}$, then:

1) If $|e_1| > \sqrt{\frac{1}{k_1}} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}$ or $|e_3| > 2(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{\varepsilon_0}{2k_3})$, then $\dot{V}_2 < 0$.

2) If $|e_1| < \sqrt{\frac{1}{k_1}} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}$ and $|e_3| < 2(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{\varepsilon_0}{2k_3})$, in the following period of time T , $\dot{V}_2 < 0$ and $\dot{V}_2 < \varepsilon_1$.

Therefore, e will converge to $\Omega_1 := \{e \in \mathbb{R}^3 \mid \|e\| < (\sqrt{\frac{1}{k_1}} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}) + 2(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{\varepsilon_0}{2k_3}) + l\{(\sqrt{\frac{1}{k_1}} \varepsilon_1 + \frac{\varepsilon_0}{2k_1}) + 2(\sqrt{\frac{k_2}{k_3}} \varepsilon_1 + \frac{\varepsilon_0}{2k_3})\}\}$. For $t \rightarrow +\infty$, we have $z \rightarrow 0$, $\varepsilon_0 \rightarrow 0$, and $\varepsilon_1 \rightarrow 0$. Thus, we have $e \rightarrow 0$. Therefore, the closed-loop control system is asymptotically stable.

IV. ADAPTIVE NN CONTROL

A. Design of the Adaptive NN Control Law

We propose the adaptive NN control law as

$$\begin{aligned} \tau &= -\rho_1 B_1^{-1} \left(\begin{bmatrix} A'_1 \\ A'_2 \end{bmatrix} \otimes \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} \right) \\ &\quad + B_1^{-1} \left\{ \begin{bmatrix} A'_1 \\ A'_2 \end{bmatrix} \otimes (v - v_{c1}) \otimes (\hat{W}^T S(Z)) \right\} \end{aligned} \quad (57)$$

where ρ_1 is the control gain, $\hat{W}^T S(Z)$ is the NN, $S(Z) = [S_1(Z), S_2(Z)]$, $S_i(Z) = [S_{i1}(Z), S_{i2}(Z), \dots, S_{in}(Z)]^T$, $n =$

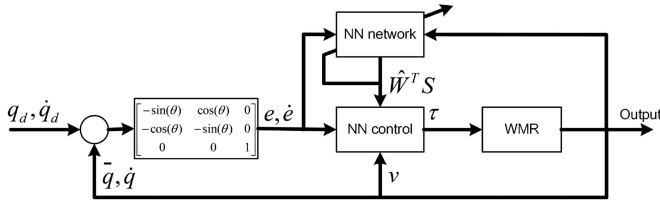


Fig. 1. Block diagram of the proposed controller.

64 is the basis function of the RBF NN with $Z = [\dot{v}_{c0}^T, \frac{A_1}{(v_1 - v_{c11})}, \frac{A_2}{(v_2 - v_{c12})}, v^T]^T$, and $S_i(Z)$ are Gaussian functions. $\hat{W} = [\hat{W}_1, \hat{W}_2]$, $\hat{W}_i = [\hat{W}_{i1}, \hat{W}_{i2}, \dots, \hat{W}_{in}]^T$ are weights of the NNs with adaptive laws

$$\dot{\hat{W}}_i = -\Gamma_i \left[S_i(Z_i)(v_i - v_{ci}) + \sigma_i \hat{W}_i \right], \quad i = 1, 2 \quad (58)$$

where $\Gamma = [\Gamma_1, \Gamma_2]^T$ is a positive constant gain matrix and $\sigma = [\sigma_1, \sigma_2]^T$ is a small constant matrix. NNs $\tilde{W}^T S(Z)$ are used to approximate $W^{*T} S(Z)$ defined by

$$W^{*T} S(Z) = M_1 \dot{v}_{c0} + \begin{bmatrix} \frac{A_1}{(v_1 - v_{c11})} \\ \frac{A_2}{(v_2 - v_{c12})} \end{bmatrix} \otimes F_1 + \varepsilon_2 \quad (59)$$

where $\varepsilon_2 = [\varepsilon_{21}, \varepsilon_{22}]^T$ is the approximation error. Fig. 1 demonstrates the block diagram of the proposed controller.

B. Stability Analysis of the Adaptive NN Control Law

Theorem 2: For the system described by (1), with the control law (57) and adaptive laws (58), for initial states satisfying the constraints $|v_i| < v_{u1i}$, $i = 1, 2$, the states of the system would not violate the constraints. When $|v_{c0i}| < v_{u2i}$, $i = 1, 2$, the closed-loop control system is semiglobally uniformly ultimately bounded; the error signals z and e would converge to compact sets Ω_2 and Ω_3 , respectively, where Ω_2 and Ω_3 are defined as

$$\Omega_2 := \{z \in \mathbb{R}^2 \mid \|z\| \leq \sqrt{D}\} \quad (60)$$

$$\Omega_3 := \left\{ e \in \mathbb{R}^3 \mid \|e\| < \left(\sqrt{\frac{1}{k_1} \varepsilon_4 + \frac{\varepsilon_3}{2k_1}} \right) + 2 \left(\sqrt{\frac{k_2}{k_3} \varepsilon_4 + \frac{\varepsilon_3}{2k_3}} \right) + l \left\{ \left(\sqrt{\frac{1}{k_1} \varepsilon_4 + \frac{\varepsilon_3}{2k_1}} \right) + 2 \left(\sqrt{\frac{k_2}{k_3} \varepsilon_4 + \frac{\varepsilon_3}{2k_3}} \right) \right\} \right\} \quad (61)$$

where $D = 4 \frac{C_3}{\rho_2}$, $\varepsilon_3 = \sqrt{D}$, $\varepsilon_4 = \frac{\varepsilon_3^2}{4k_1} + \frac{\varepsilon_3^2}{4k_2 k_3}$, l is the minimum value satisfies $l > 5$, $\frac{10}{k_2 v_{1dmin}} < 0.2$, $\frac{k_3}{2k_2 l^2} < 0.5$, $\frac{k_3}{k_2 v_{1dmin} l} < 0.1$, $1.1 v_{2max} \frac{10}{k_2 v_{1dmin}} + v_{1dmax} \left(\frac{1}{l} + \frac{11 v_{1dmax}}{l v_{1dmin}} \right) + \frac{k_3}{5l} \frac{10}{l k_2 v_{1dmin}} < 0.2$, and $\frac{k_3}{l k_2 v_{1dmin}} (1 + 1.1 k_2 v_{1dmax} \frac{10}{v_{1dmin}} + \frac{k_3}{5}) < 0.2$. C_3 , ρ_2 , k_1 , k_2 , and k_3 are positive constants.

Proof: Considering the following Lyapunov candidate function:

$$V_3 = V_1 + \frac{1}{2} \sum_{i=1}^2 M_{1ii}^{-1} \Gamma_i^{-1} \tilde{W}_i^T \tilde{W}_i \quad (62)$$

where $\tilde{W}_i = \hat{W}_i - W_i^*$ are weight errors.

Differentiating (62) with respect to time yields

$$\dot{V}_3 = \dot{V}_1 + \sum_{i=1}^2 M_{1ii}^{-1} \Gamma_i^{-1} \tilde{W}_i^T \dot{\tilde{W}}_i. \quad (63)$$

V_3 can be divided into two parts corresponding to v_1 and v_2 , respectively:

$$V_3 = V_{31} + V_{32}. \quad (64)$$

Then, (63) becomes

$$\dot{V}_3 = \dot{V}_{31} + \dot{V}_{32} \quad (65)$$

$$\begin{aligned} \begin{bmatrix} \dot{V}_{31} \\ \dot{V}_{32} \end{bmatrix} &= \begin{bmatrix} \dot{V}_{11} \\ \dot{V}_{12} \end{bmatrix} + \begin{bmatrix} M_{111}^{-1} \Gamma_1^{-1} \tilde{W}_1^T \dot{\tilde{W}}_1 \\ M_{122}^{-1} \Gamma_2^{-1} \tilde{W}_2^T \dot{\tilde{W}}_2 \end{bmatrix} \\ &= A \otimes \dot{v} - (v - v_{c1}) \otimes \dot{v}_{c0} + M_1^{-1} \begin{bmatrix} \Gamma_1^{-1} \tilde{W}_1^T \dot{\tilde{W}}_1 \\ \Gamma_2^{-1} \tilde{W}_2^T \dot{\tilde{W}}_2 \end{bmatrix}. \end{aligned} \quad (67)$$

Substituting (4) and $\tilde{W}_i = \hat{W}_i - W_i^*$ into (67) yields

$$\begin{aligned} \begin{bmatrix} \dot{V}_{31} \\ \dot{V}_{32} \end{bmatrix} &= A \otimes (M_1^{-1} (B_1 \tau - F_1)) \\ &\quad - (v - v_{c1}) \otimes \dot{v}_{c0} + M_1^{-1} \begin{bmatrix} \Gamma_1^{-1} \tilde{W}_1^T \dot{\tilde{W}}_1 \\ \Gamma_2^{-1} \tilde{W}_2^T \dot{\tilde{W}}_2 \end{bmatrix}. \end{aligned} \quad (68)$$

Using control law (57) and $\tilde{W}_i = \hat{W}_i - W_i^*$, we have

$$\begin{aligned} \begin{bmatrix} \dot{V}_{31} \\ \dot{V}_{32} \end{bmatrix} &= -\rho_1 M_1^{-1} \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} + M_1^{-1} \begin{bmatrix} \Gamma_1^{-1} \tilde{W}_1^T \dot{\tilde{W}}_1 \\ \Gamma_2^{-1} \tilde{W}_2^T \dot{\tilde{W}}_2 \end{bmatrix} \\ &\quad + M_1^{-1} \left\{ (v - v_{c1}) \otimes (W^* + \tilde{W})^T S(Z) \right\} \\ &\quad - A \otimes (M_1^{-1} F_1) - (v - v_{c1}) \otimes \dot{v}_{c0}. \end{aligned} \quad (69)$$

Since M_1^{-1} is a diagonal positive-definite matrix, one has

$$\begin{aligned} \begin{bmatrix} \dot{V}_{31} \\ \dot{V}_{32} \end{bmatrix} &= -\rho_1 M_1^{-1} \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} + M_1^{-1} \begin{bmatrix} \Gamma_1^{-1} \tilde{W}_1^T \dot{\tilde{W}}_1 \\ \Gamma_2^{-1} \tilde{W}_2^T \dot{\tilde{W}}_2 \end{bmatrix} \\ &\quad + M_1^{-1} \left\{ (v - v_{c1}) \otimes (\tilde{W}^T S(Z) + \varepsilon_2) \right\}. \end{aligned} \quad (70)$$

Substituting (58) into (70) and using $\tilde{W}_i = \hat{W}_i - W_i^*$, we obtain

$$\begin{aligned} \begin{bmatrix} \dot{V}_{31} \\ \dot{V}_{32} \end{bmatrix} &= -\rho_1 M_1^{-1} \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} - M_1^{-1} \begin{bmatrix} \sigma_1 \tilde{W}_1^T \hat{W}_1 \\ \sigma_2 \tilde{W}_2^T \hat{W}_2 \end{bmatrix} \\ &\quad + M_1^{-1} \left\{ (v - v_{c1}) \otimes \varepsilon_2 \right\} \\ &\leq -\rho_1 M_1^{-1} \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} + \frac{1}{2} M_1^{-1} \left[\|v_1 - v_{c11}\|^2 + \|v_2 - v_{c12}\|^2 \right] \\ &\quad + \frac{1}{2} M_1^{-1} \left[\|\bar{\varepsilon}_{21}\|^2 + \|\bar{\varepsilon}_{22}\|^2 \right] - M_1^{-1} \begin{bmatrix} \sigma_1 \tilde{W}_1^T (\tilde{W}_1 + W_1^*) \\ \sigma_2 \tilde{W}_2^T (\tilde{W}_2 + W_2^*) \end{bmatrix} \\ &\leq -\rho_1 M_1^{-1} \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} + \frac{1}{2} M_1^{-1} \left[\|v_1 - v_{c11}\|^2 + \|v_2 - v_{c12}\|^2 \right] \end{aligned} \quad (72)$$

$$\begin{aligned}
& -\frac{1}{2}M_1^{-1} \begin{bmatrix} \sigma_1 \|\tilde{W}_1\|^2 \\ \sigma_2 \|\tilde{W}_2\|^2 \end{bmatrix} + \frac{1}{2}M_1^{-1} \begin{bmatrix} \sigma_1 \|W_1^*\|^2 \\ \sigma_2 \|W_2^*\|^2 \end{bmatrix} \\
& + \frac{1}{2}M_1^{-1} \begin{bmatrix} \|\bar{\varepsilon}_{21}\|^2 \\ \|\bar{\varepsilon}_{22}\|^2 \end{bmatrix}. \tag{73}
\end{aligned}$$

In order to compare V_{1i} and $\frac{1}{2}(v_i - v_{c1i})^2$, $i = 1, 2$, partially differentiating V_{1i} with respect to v_i , we have

$$\frac{\partial V_{1i}}{\partial v_i} = A_i \tag{74}$$

$$\frac{\partial^2 V_{1i}}{\partial v_i^2} = 1 + \frac{h_i(v_i)}{(v_{u11} - |v_i|)^2} \geq 1. \tag{75}$$

Partially differentiating $\frac{(v_i - v_{c1i})^2}{2}$ with respect to v_i yields

$$\frac{\partial \{\frac{1}{2}(v_i - v_{c1i})^2\}}{\partial v_i} = v_i - v_{c1i} \tag{76}$$

$$\frac{\partial^2 \{\frac{1}{2}(v_i - v_{c1i})^2\}}{\partial v_i^2} = 1. \tag{77}$$

For

$$V_{1i}|_{v=v_{c1i}} = \frac{1}{2}(v_i - v_{c1i})^2|_{v=v_{c1i}} = 0 \tag{78}$$

$$\frac{\partial V_{1i}}{\partial v_i}|_{v=v_{c1i}} = \frac{\partial \{\frac{1}{2}(v_i - v_{c1i})^2\}}{\partial v_i} = 0 \tag{79}$$

and

$$\frac{\partial^2 V_{1i}}{\partial v_i^2} \geq \frac{\partial^2 \{\frac{1}{2}(v_i - v_{c1i})^2\}}{\partial v_i^2} > 0 \tag{80}$$

we can obtain

$$V_{1i} \geq \frac{1}{2}(v_i - v_{c1i})^2. \tag{81}$$

Then, from (65), (73), and (81), we can obtain

$$\begin{bmatrix} \dot{V}_{31} \\ \dot{V}_{32} \end{bmatrix} \leq -(\rho_1 - 1)M_1^{-1} \begin{bmatrix} V_{11} \\ V_{12} \end{bmatrix} + \frac{1}{2}M_1^{-1} \begin{bmatrix} \|\bar{\varepsilon}_{21}\|^2 \\ \|\bar{\varepsilon}_{22}\|^2 \end{bmatrix} \tag{82}$$

$$\begin{aligned}
& -\frac{1}{2} \begin{bmatrix} M_{111}^{-1}\sigma_1 \|\tilde{W}_1\|^2 \\ M_{122}^{-1}\sigma_2 \|\tilde{W}_2\|^2 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} M_{111}^{-1}\sigma_1 \|W_1^*\|^2 \\ M_{122}^{-1}\sigma_2 \|W_2^*\|^2 \end{bmatrix} \\
& \tag{83}
\end{aligned}$$

$$\leq -\rho_2 \begin{bmatrix} V_{31} \\ V_{32} \end{bmatrix} + \begin{bmatrix} C_1 \\ C_2 \end{bmatrix} \tag{84}$$

and

$$\dot{V}_3 \leq -\rho_2 V_3 + C_3 \tag{85}$$

where

$$\rho_2 = \min \left((\rho_1 - 1)\lambda_{\min}(M_1^{-1}), \min_{i=1,2}(\sigma_i \Gamma_i) \right) \tag{86}$$

$$C_i = \frac{1}{2}M_{1ii}^{-1} \|\bar{\varepsilon}_{2i}\|^2 + \frac{1}{2}M_{1ii}^{-1}\sigma_i \|W_i^*\|^2, \quad i = 1, 2 \tag{87}$$

$$C_3 = C_1 + C_2. \tag{88}$$

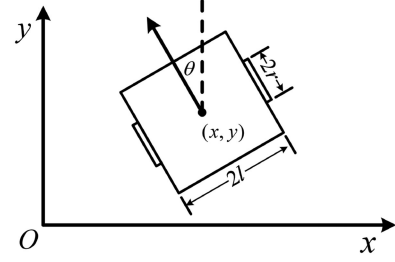


Fig. 2. Coordinate of the WMR system.

According to the above Lyapunov function, we can conclude that V_3 can converge to an arbitrarily small value by increasing ρ_2 . If the auxiliary input velocity v_{c0} is large, or even greater than constraints, i.e., $|v_{c01}| > v_{u11}$ or $|v_{c02}| > v_{u12}$, the proposed method can limit the velocity to satisfy the constraints for safety, which is more important than stable tracking. If $|v_{c0i}| < v_{u2i}$, $i = 1, 2$, we have $v_{c1} = v_{c0}$ and $\frac{1}{2}(v - v_{c0})^T(v - v_{c0}) < V_3$. For V_3 can converge to be less than arbitrarily small value by increasing ρ_2 , we know that z can converge to arbitrarily small values, i.e. for any $\varepsilon_3 > 0$ and initial state $v_i(0) < v_{u2i}$, $i = 1, 2$, choose $\sqrt{\frac{4C_3}{\rho_2}} = \varepsilon_3$, there exists $T > 0$, when $t > T$ and $|v_{c0i}| < v_{u2i}$, $i = 1, 2$, we have $\|v - v_{c0}\| < \varepsilon_3$, $z_1 < \varepsilon_3$, and $z_2 < \varepsilon_3$. According to the analysis in model-based control, it can be directly obtained that e will converge to $\Omega_3 := \{e \in \mathbb{R}^3 \mid \|e\| < (\sqrt{\frac{1}{k_1}\varepsilon_4 + \frac{\varepsilon_3}{2k_1}}) + 2(\sqrt{\frac{k_2}{k_3}\varepsilon_4 + \frac{\varepsilon_3}{2k_3}}) + l\{(\sqrt{\frac{1}{k_1}\varepsilon_4 + \frac{\varepsilon_3}{2k_1}}) + 2(\sqrt{\frac{k_2}{k_3}\varepsilon_4 + \frac{\varepsilon_3}{2k_3}})\}\}$, which can arbitrary be small by suitable choice of k_1 , k_2 , and k_3 . According to [31], the closed-loop control system is semiglobally uniformly ultimately bounded.

V. SIMULATIONS

Considering a two-wheeled mobile robot shown in Fig. 2, simulations are carried out to demonstrate the effectiveness of the proposed method. The desired trajectories are given as $x_d = 2 \sin(0.1t)$, $y_d = 2 - 2 \cos(0.1t)$, and $\theta_d = 0.1t - \frac{\pi}{2}$ where $t \in [0, t_f]$ and $t_f = 80$ s. $q(0) = [-0.5, -0.5, -0.7]^T$, $\dot{q}(0) = [0, 0, 0]^T$, $r = 100$ mm, $l = 250$ mm, and $M = \text{diag}[m, m, I_p]$. In the beginning, $m = 50$ kg, $I_p = 5.4$ kg·m², and friction coefficient $\mu = 0.08$. The parameter of model-based control is equal to the model. We consider that the control torques of the robot are under external disturbances composed of the Gaussian noise with the power of 0 dBW. In order to better simulate the situation that system parameters change in the process of working, we change the system parameters to $m = 65$ kg, $I_p = 7$ kg·m², and $\mu = 0.15$ at $t > 40$.

Simulation studies for proportional–integral–derivative (PID) control, adaptive SMC [32], model-based control (MB), and adaptive NN control (NN) are carried out in this article. The control parameters are chosen as $k_1 = 10$, $k_2 = 5$, and $k_3 = 4$. For PID control, the parameters are chosen as $K_p = \text{diag}[210, 1.1]$ and $K_d = \text{diag}[0.64, 0.08]$. For SMC, the parameters are the same as those in [32]. For model-based control, the parameters are chosen as $\rho = [15, 57.6]^T$, $v_{u1} = [1, 1.5]^T$,

TABLE I
ISE VALUES OF CONTROL BY PID, SMC, MB, AND NN

	(a) Simulations				(b) Practical Experiments		
t(s)	[0,20]	[20,40]	[40,60]	[60,80]	[0,20]	[20,40]	[40,60]
PID	0.8312	0.0007	0.0063	0.0010	1.6469	0.2072	0.1675
SMC	1.7917	0.0289	0.0011	0.0008	1.4787	0.1235	0.1015
MB	0.7292	0.0005	0.0061	0.0073	1.7376	0.1864	0.1897
NN	0.7126	0.0012	0.0021	0.0014	1.7780	0.0122	0.0062

and $v_{u2} = [0.8, 1.3]^T$. For NN control, the parameters are chosen as $\rho_1 = [1350, 21.6]^T$, $\sigma = [0.0001, 0.0001]^T$, and $\Gamma = [20\ 000, 800]^T$. $v_{u1} = [1, 1.5]^T$ and $v_{u2} = [0.8, 1.3]^T$. There are 64 nodes for each $S_i(Z)$ with centers chosen in the area of $[-1, 1] \times [-1, 1] \times [-1, 1] \times [-1, 1] \times [-1, 1] \times [-1, 1]$.

The performance measure information system evaluation (ISE) [33] is

$$J(\text{ISE}) = \sum_{k=1}^{N_s} \|q(k) - q_d(k)\|^2 T_{\text{step}}$$

where $\|q(k) - q_d(k)\| = \sqrt{(q(k) - q_d(k))^T (q(k) - q_d(k))}$, T_{step} denotes step time, and N_s is the length of simulation trail.

The results of simulation are shown in Fig. 3 and Table I(a). Fig. 3(a) shows the trajectory, Fig. 3(b) shows values of Lyapunov functions, Fig. 3(c) and (d) shows the linear velocity and angular velocity, and Fig. 3(e)–(h) shows errors of tracking, where d is the distance between the current position and the desired position. The ISE values of the four control algorithms are reported in Table I(a). From Fig. 3(b), it can be observed that the values of Lyapunov functions are decreasing under the proposed control laws; the change trends of values of Lyapunov functions conform to theoretical analysis. Note that V_3 is unavailable, so in NN control, only V_1 is illustrated. From Fig. 3(e)–(h) and Table I(a), it can be observed that all the four kinds of control schemes can track the desired trajectory under external disturbance; the error signals converge to a small neighborhood of zero. However, from Fig. 3(c) and (d) and Table I, we can observe the following.

- 1) The PID control cannot limit the velocity, which may cause safety problem. When $t > 40$, the performance of PID control becomes poor because of the change of system parameters.
- 2) For the adaptive SMC, tracking errors reduce with the learning of parameters. When system parameters change at $t = 40$, the adaptive SMC can guarantee the tracking performance with the learning for new parameters. However, the adaptive SMC cannot limit the velocity; the velocity exceeds the limit at the beginning of the simulation.
- 3) The model-based control can limit velocities to satisfy the constraints and can track the desired trajectory very well if the parameters are accurate. However, when the parameters of the system change at $t = 40$, the tracking performance reduces greatly.
- 4) For the NN control, the velocity can also be limited within the constraints. The tracking errors reduce with the learning of NNs. When system parameters change

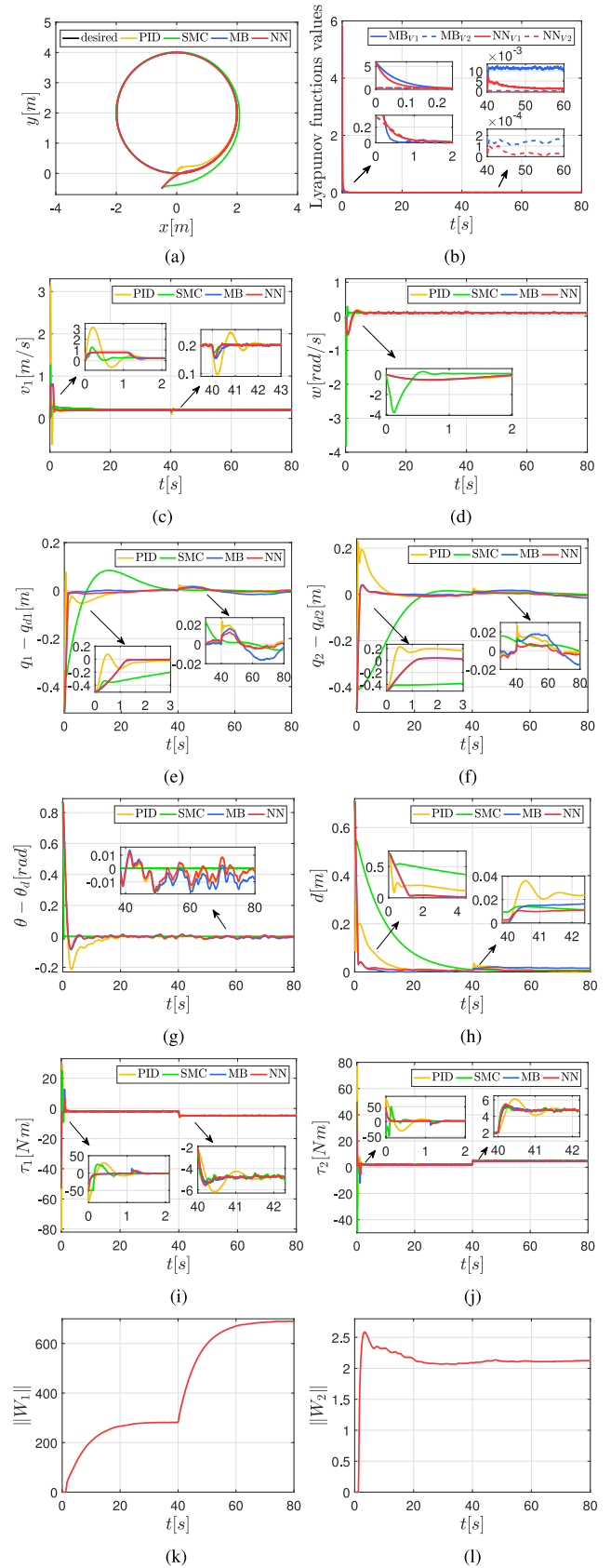


Fig. 3. Simulation results of the closed-loop system. (a) Trajectory. (b) Lyapunov function values. (c) Linear velocity v_1 . (d) Angular velocities w . (e) $q_1 - q_{d1}$. (f) $q_2 - q_{d2}$. (g) $\theta - \theta_d$. (h) d . (i) τ_1 . (j) τ_2 . (k) $\|W_1\|$. (l) $\|W_2\|$.

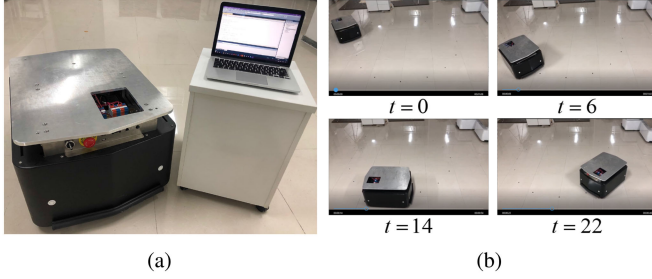


Fig. 4. (a) WMR system. (b) Snapshots of the trajectory tracking.

at $t = 40$, the NNs can learn new parameters, and the tracking performance can be guaranteed.

The input torque of two wheels is given in Fig. 3(i) and (j); it can be observed that the control torque is small enough to be implementable in practice by using motors. The norm of NN weights is given in Fig. 3(k) and (l).

The calculation time of 10 000 times for PID control, adaptive SMC, model-based control, and NN control are 0.4056, 2.0662, 0.3875, and 1.4294 s, respectively, with the MATLAB written simulation program running at a computer equipped with CPU: Intel(R) Core(TM) i5-3210M @2.50 GHz. For all the four kinds of control, the calculation time is short enough that will not affect the tracking performance of the WMR.

VI. PRACTICAL EXPERIMENT

In order to test the performance of the developed control method, a WMR, which is shown in Fig. 4(a), is employed in practical experiments. The WMR has a mass of about 80 kg and a size of 80 cm (length) \times 50 cm (width) \times 45 cm (height). It is equipped with two driving wheels with servo motors working at torque mode and four passive universal wheels at corners for balance purpose. Driving wheels are mounted in the middle of the WMR's long side. In order to build the map by simultaneous localization and mapping (SLAM), a laser radar with 20-m measurement distance and ± 5 cm location error is mounted on the front of the robot. The sampling time of the system is 100 ms.

The results of practical experiment are shown in Figs. 4(b) and Fig. 5 and Table I(b). Fig. 4(b) shows snapshots of the practical experiment. Fig. 5(a) shows the trajectory, Fig. 5(b) shows the values of Lyapunov functions, Fig. 5(c) and (d) shows the linear velocity and the angular velocity, respectively. Fig. 5(e)–(h) shows tracking errors, where d is the distance between the current position and the desired position. The ISE values of the four control algorithms are reported in Table I(b). From Fig. 5(b), it can be observed that the overall trends of values of Lyapunov functions are decreasing under the proposed control laws, which conform to the theoretical analysis. As shown in Fig. 5(a) and (e)–(h), the four kinds of control methods can track the desired trajectory. However, from Fig. 5(c)–(h) and Table I(b), the following can be observed.

- 1) For the PID control, without constraints for velocity, the position error reduces fastest at the beginning with velocity exceeding the limit; this is dangerous in practice.
- 2) For the adaptive SMC, with the learning for system parameters, tracking errors converge to small values close

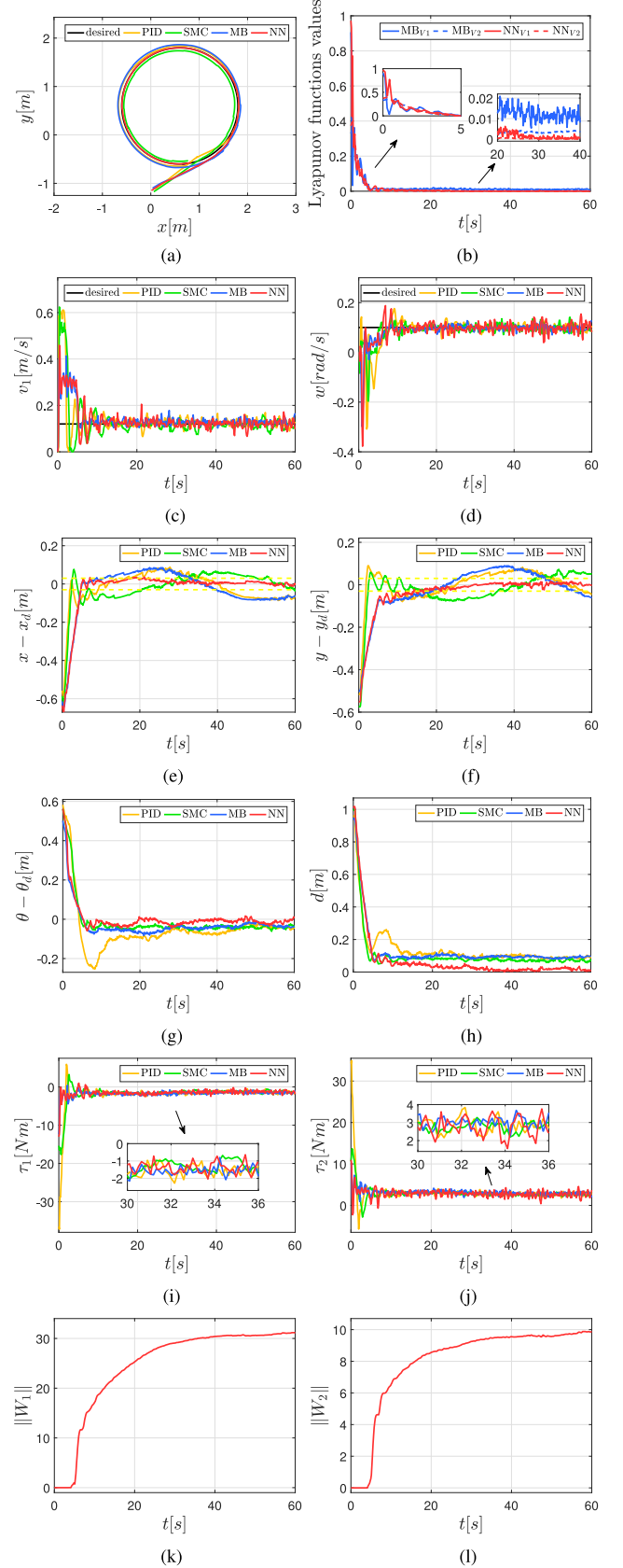


Fig. 5. Experimental results of the closed-loop system. (a) Trajectory. (b) Lyapunov function values. (c) Linear velocity v_1 . (d) Angular velocities w . (e) $x - x_d$. (f) $y - y_d$. (g) $\theta - \theta_d$. (h) d . (i) τ_1 . (j) τ_2 . (k) $\|W_1\|$. (l) $\|W_2\|$.

to zero. However, this method cannot limit the velocity, and the velocity exceeds the limit at the beginning of the experiment.

- 3) For the model-based control, the velocity is limited to satisfy the constraints. However, system parameters cannot be obtained accurately, so there remain tracking errors greater than NN control.
- 4) For the NN control, the velocity is also limited within constraints. With the learning of NN weights, tracking errors converge to small values close to zero, and the velocity constraint is guaranteed. The control torque of two wheels is given in Fig. 5(i) and (j). The norm of NN weights is given in Fig. 5(k) and (l).

For the four kinds of control methods, the overshoot of PID control and adaptive SMC is larger than that of both model-based control and NN control. From Fig. 5(c), (d), and (h), it can be observed that PID control and adaptive SMC can eliminate d fast, but they need large velocity, which violates the constraints and may cause harm.

VII. CONCLUSION

In this article, an adaptive NN control scheme was presented for an uncertain nonholonomic WMR with velocity constraints. With the proposed method, the velocity was constrained within the predefined constraints, and the tracking error converged to a small neighborhood of zero. Both simulation studies and practical experiments illustrated that the proposed control can track the desired trajectory with good tracking performance. In the future, we will investigate the problem with finite-time convergence.

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